

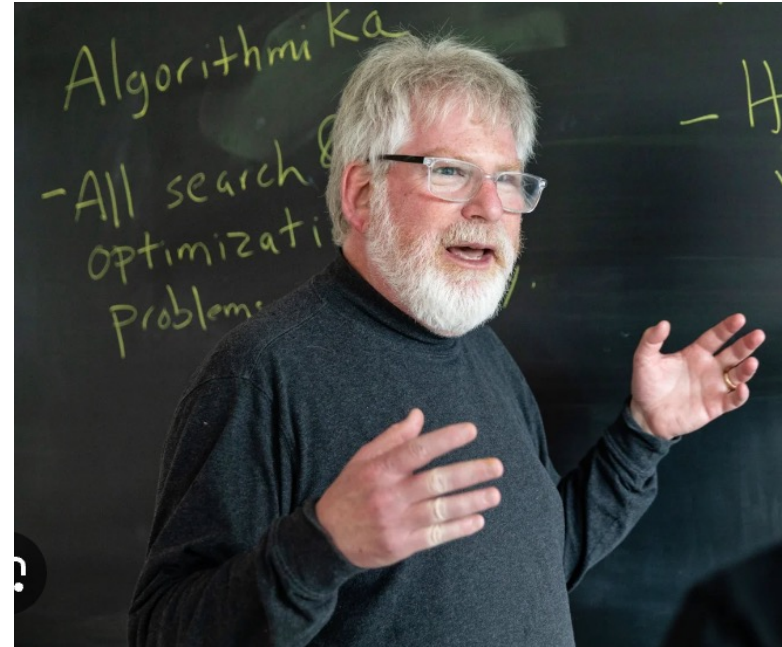
# Foundations of Cryptography.

## Lecture 2: Cryptomania

Anna Lysyanskaya

# Last Time: One-Way Functions and Minicrypt

- Definitions of security for
  - Symmetric encryption
  - One-way functions
  - Pseudorandom generators
  - Pseudorandom functions
  - Block ciphers
- Concepts: indistinguishability
- Theorems: Existence of OWF is necessary and sufficient for symmetric encryption, PRGs, PRFs, and block ciphers.
- Minicrypt: everything you can construct from a one-way function
  - One of five of Impagliazzo's possible worlds



# Today: Cryptomania

- Cryptomania = world in which more sophisticated cryptography is possible
- OWFs exist, and more
- Example of a cryptomania resident: public-key encryption
  - Impagliazzo and Rudich showed that you cannot build public-key encryption from a OWF.
- What do we need to achieve public-key encryption?
  - Definition of security
  - Construction – it will use OWFs enhanced with a trapdoor, and zero-knowledge proofs
  - Proof of security of the construction

# Today: Cryptomania

- Zero-knowledge proofs
  - Definition (high level)
  - Construction for an NP-complete language
  - Another flavor: non-interactive zero-knowledge proof (NIZK)
- Public-key encryption: definition
- Trapdoor permutation (aka OWP with a trapdoor)
  - Definition
  - Examples
- Construct public-key encryption from NIZK and TDPs
  - Very theoretical construction, don't use it in practice! But helps understand proofs of security.
- Look at practical constructions and try to make sense of them using our theoretical tools

# Zero-Knowledge Proof: Idea

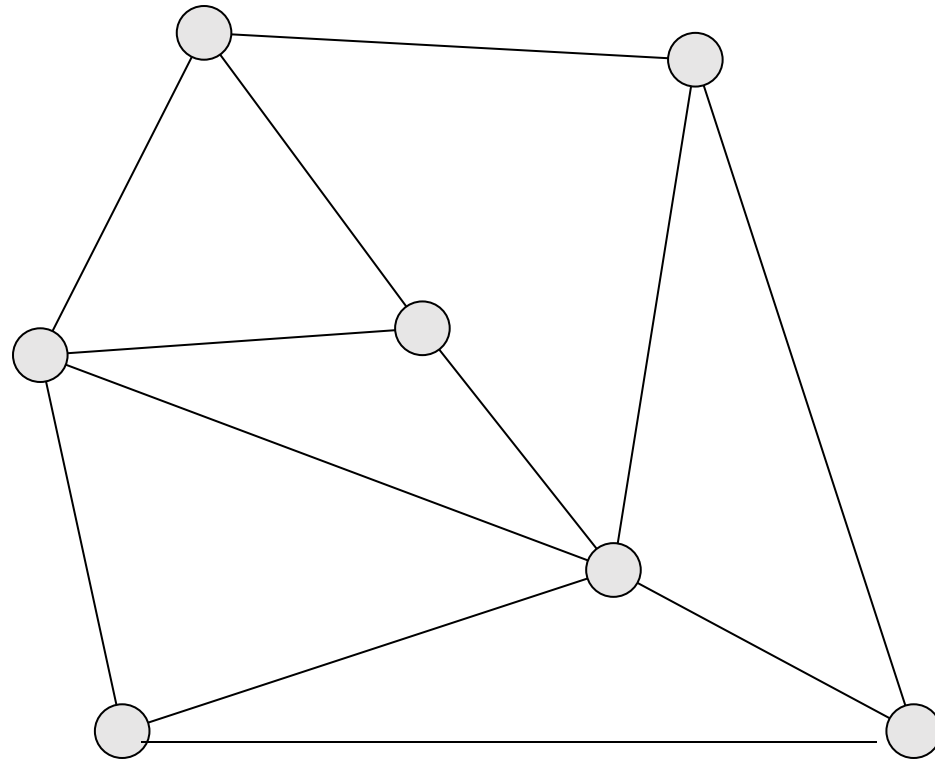
- Two parties: a Prover and a Verifier
- Prover's input is a theorem  $X$  and its proof  $W$
- Verifier just has the theorem  $X$
- How does the Prover convince the Verifier that the theorem holds?
  - Obvious idea: reveal the proof  $W$
  - But what's the fun in that? You don't want to give away your proof, you want your friend to find it herself!
- How does the Prover convince the Verifier that the theorem holds without revealing anything about the proof?
  - Use a zero-knowledge proof!

# Zero-knowledge proofs: a crash course

# Can you 3-color a graph?

1. Each vertex colored  
red, green or blue

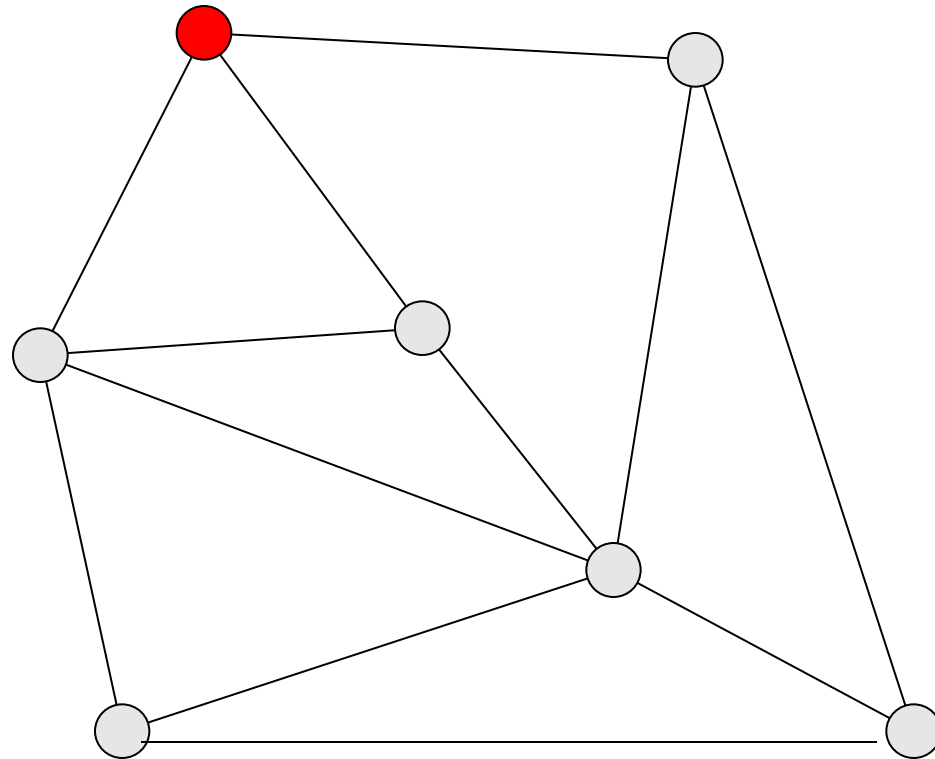
2. No monochromatic  
edges



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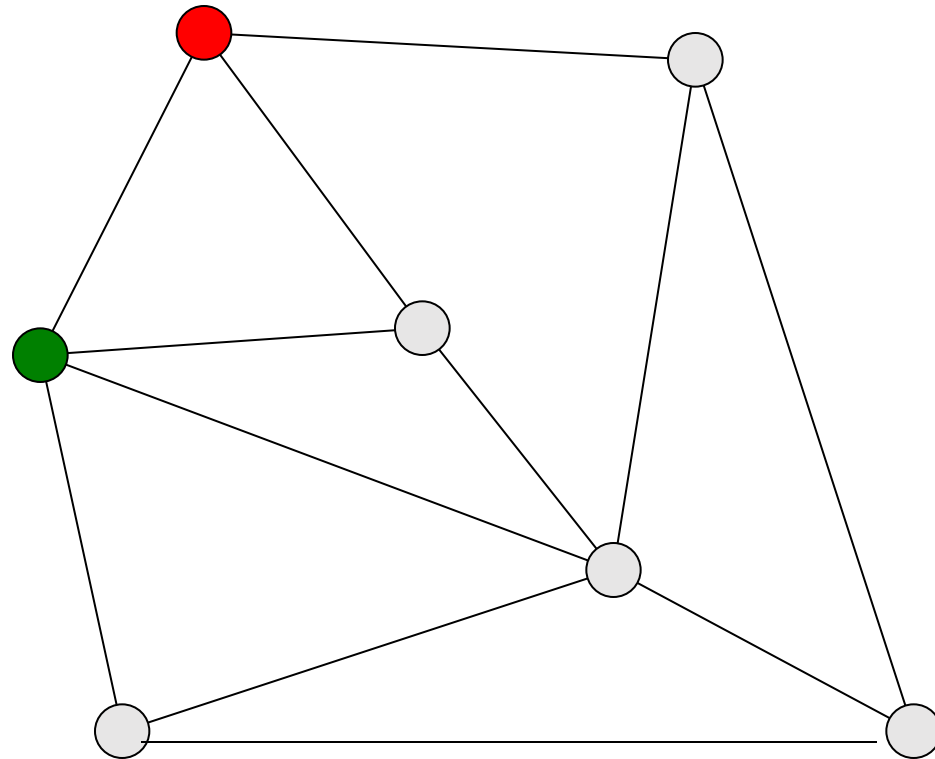




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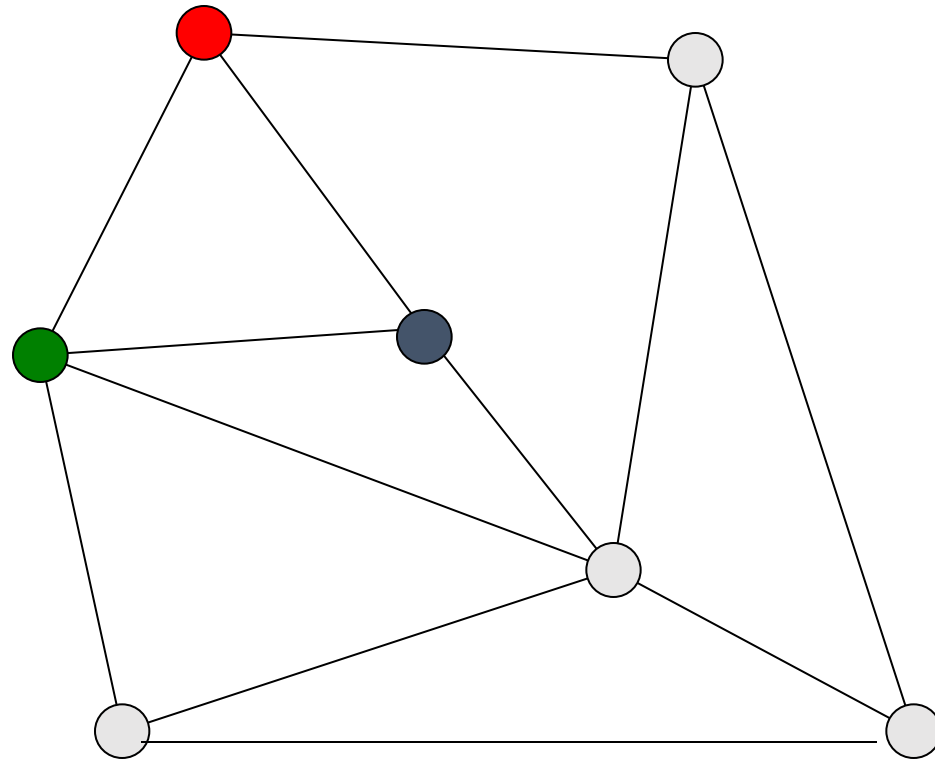
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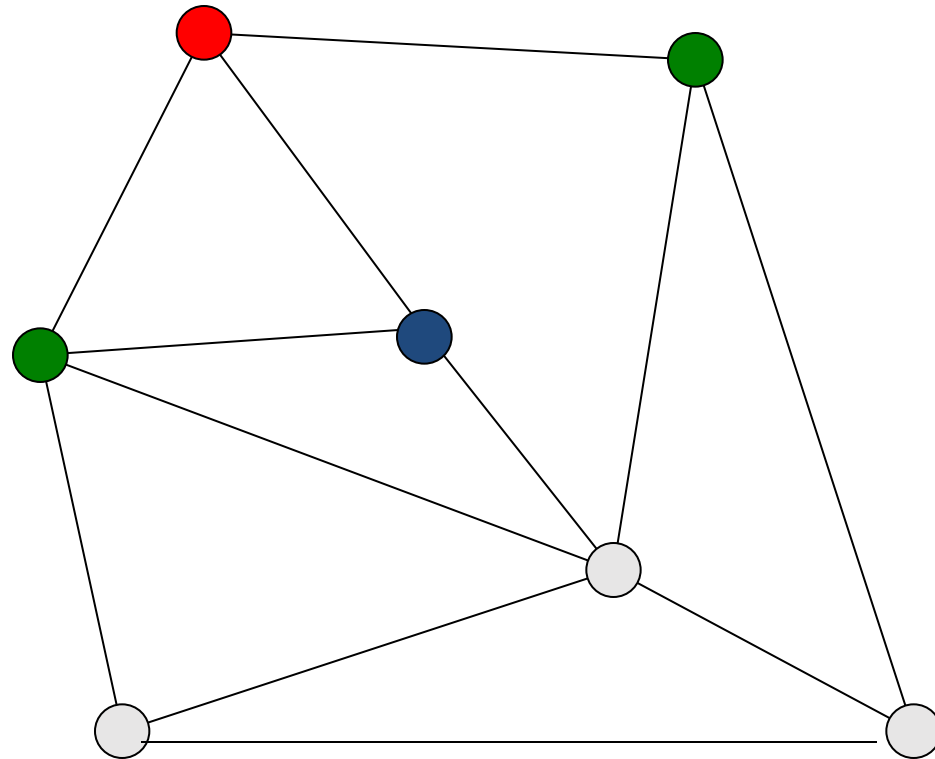
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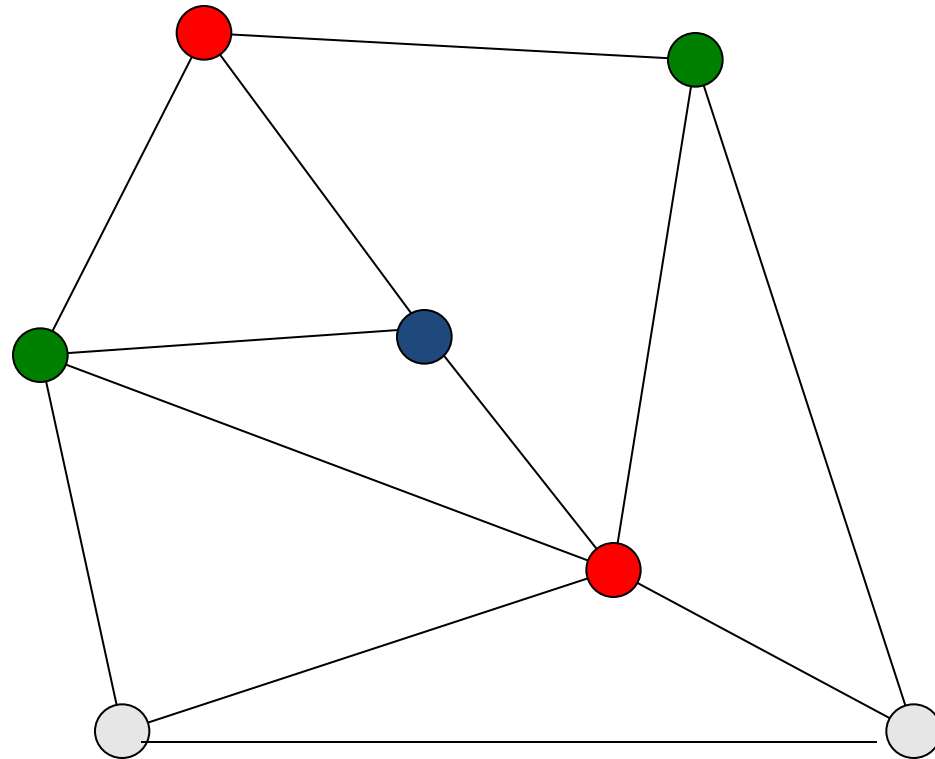
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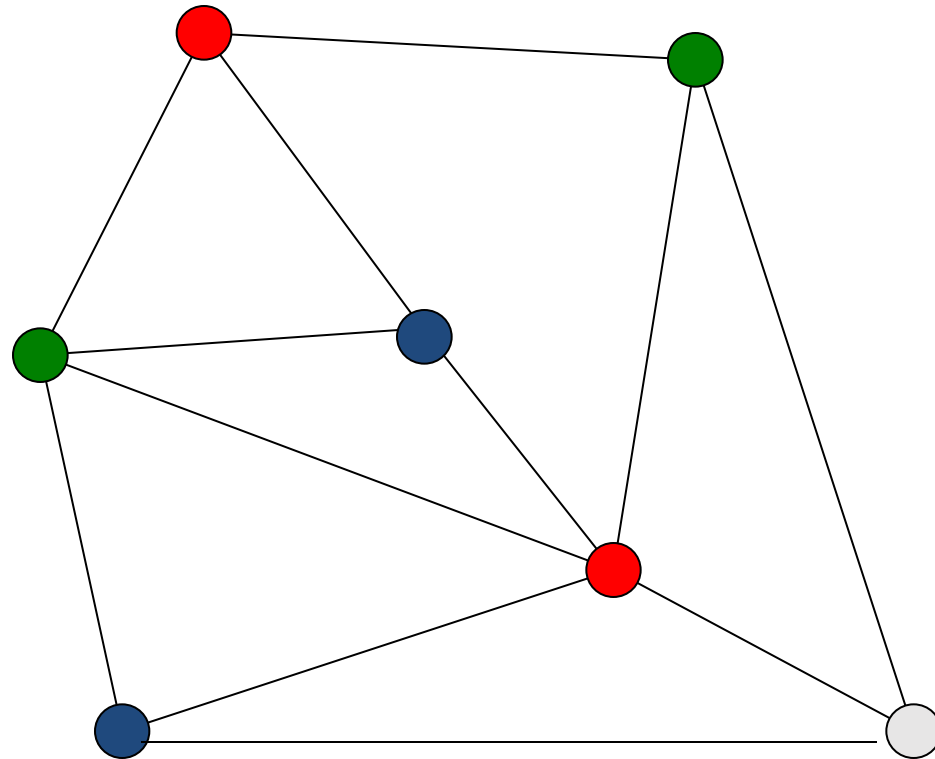
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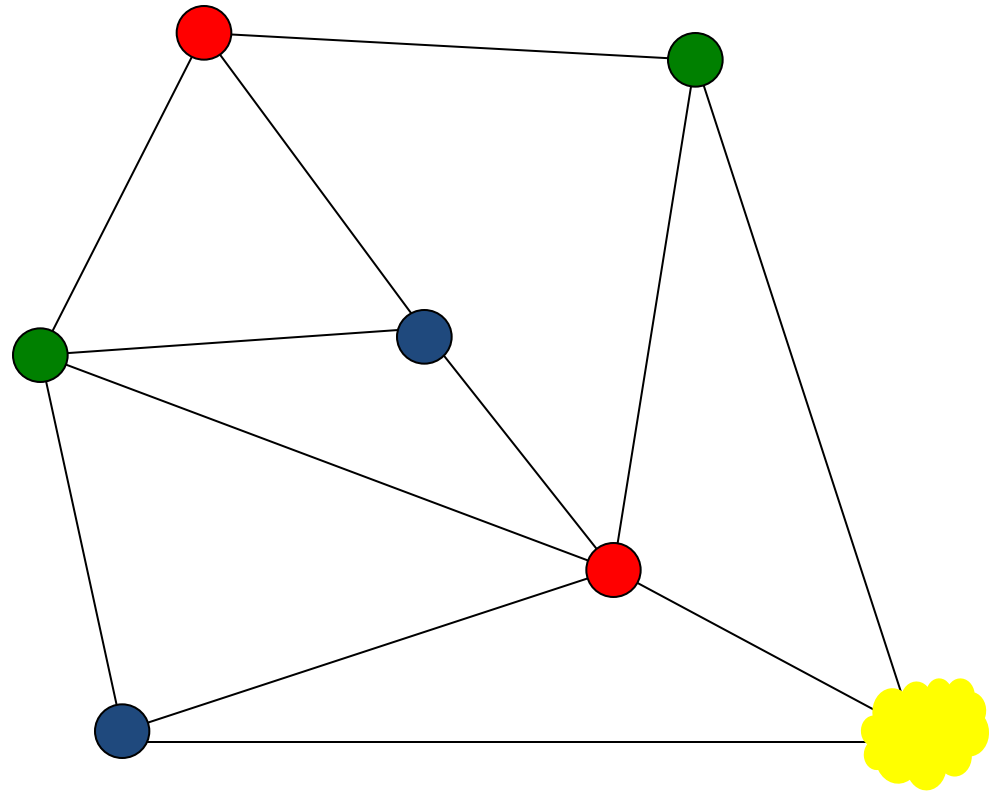
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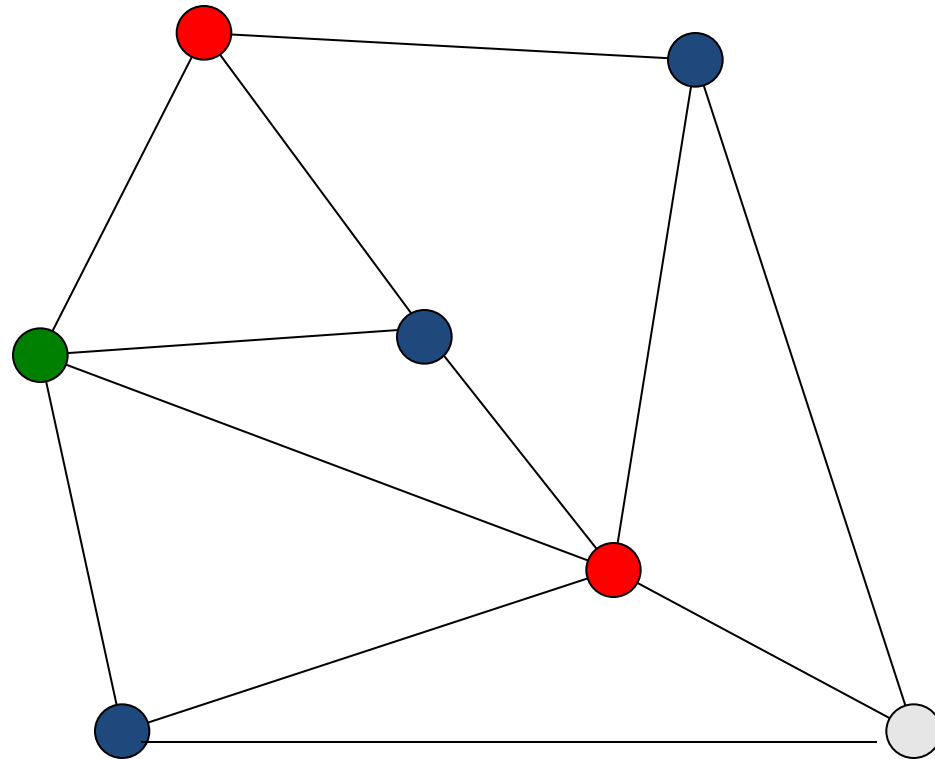
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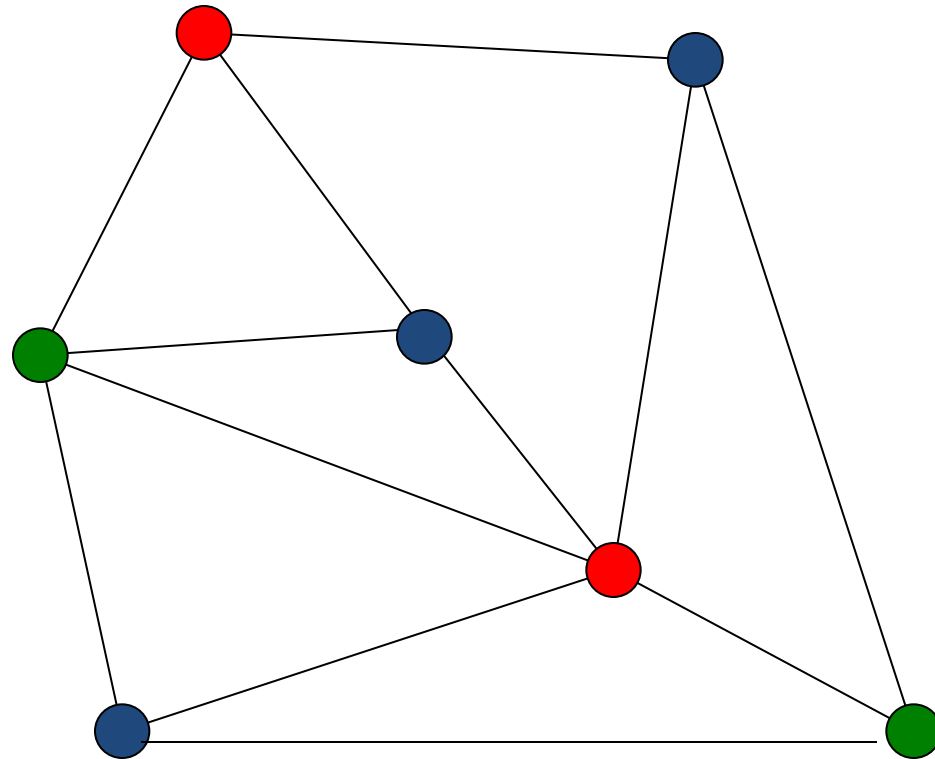
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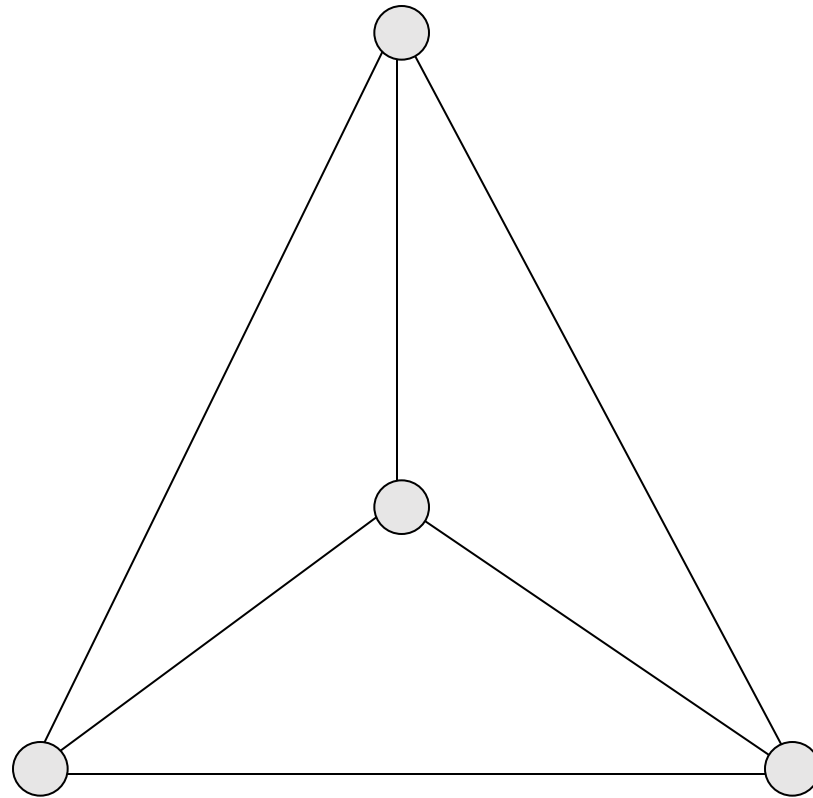
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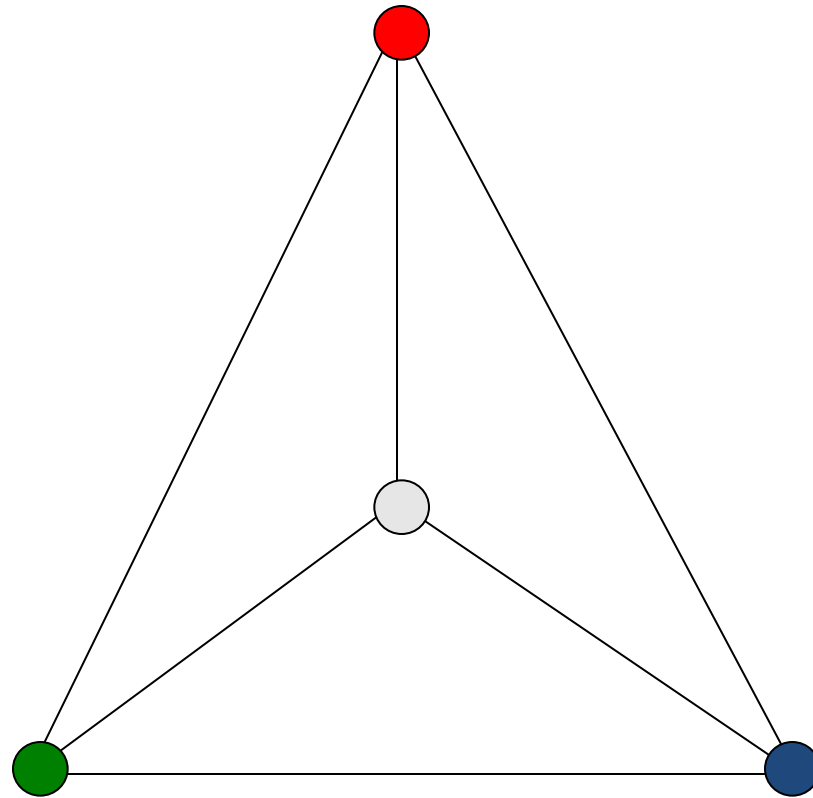


Is every graph 3-colorable?

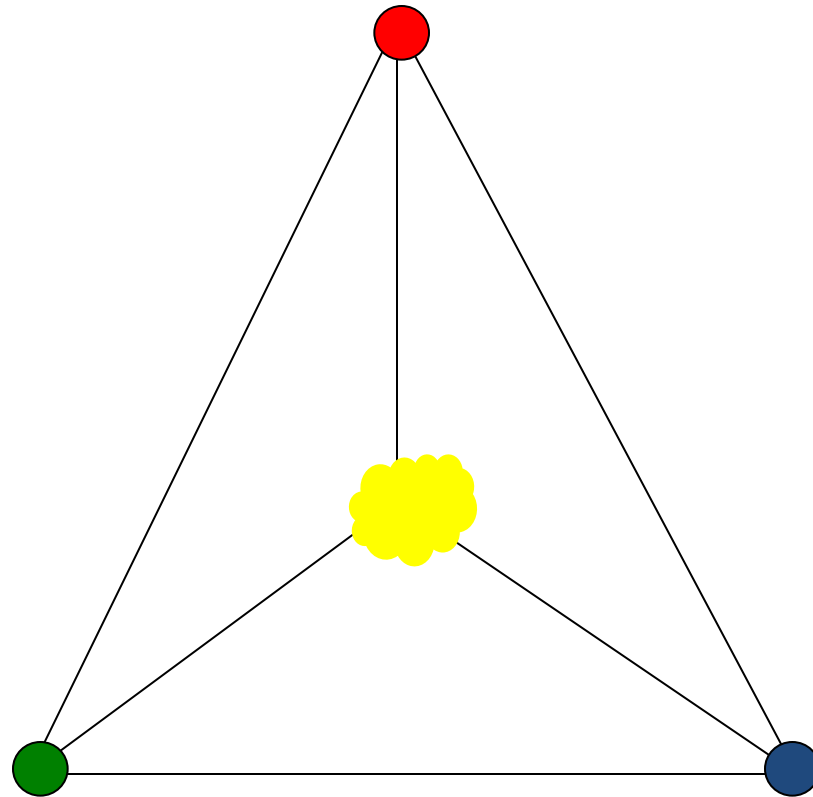
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# Is every graph 3-colorable?

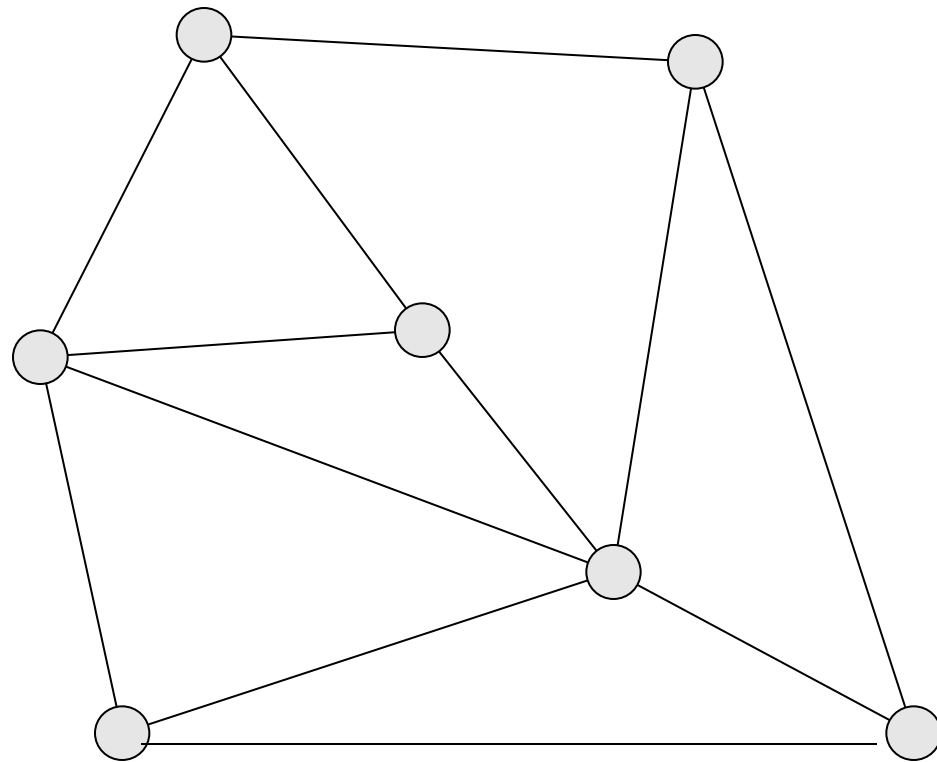


# Is every graph 3-colorable?



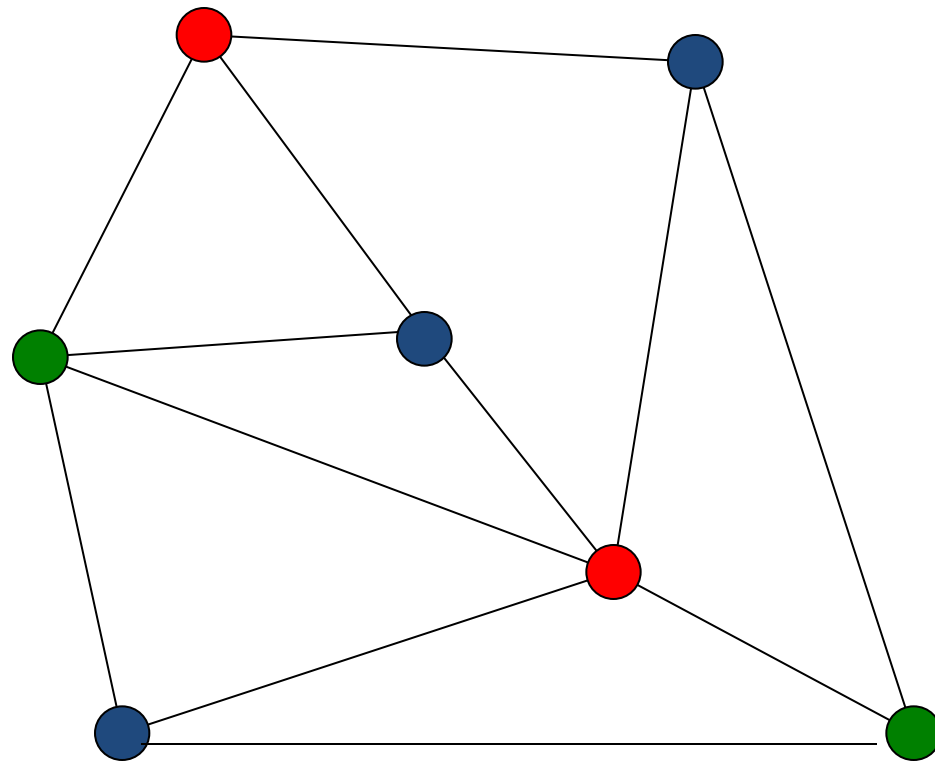
No...

# Zero-knowledge proof of 3-colorability





# Zero-knowledge proof of 3-colorability

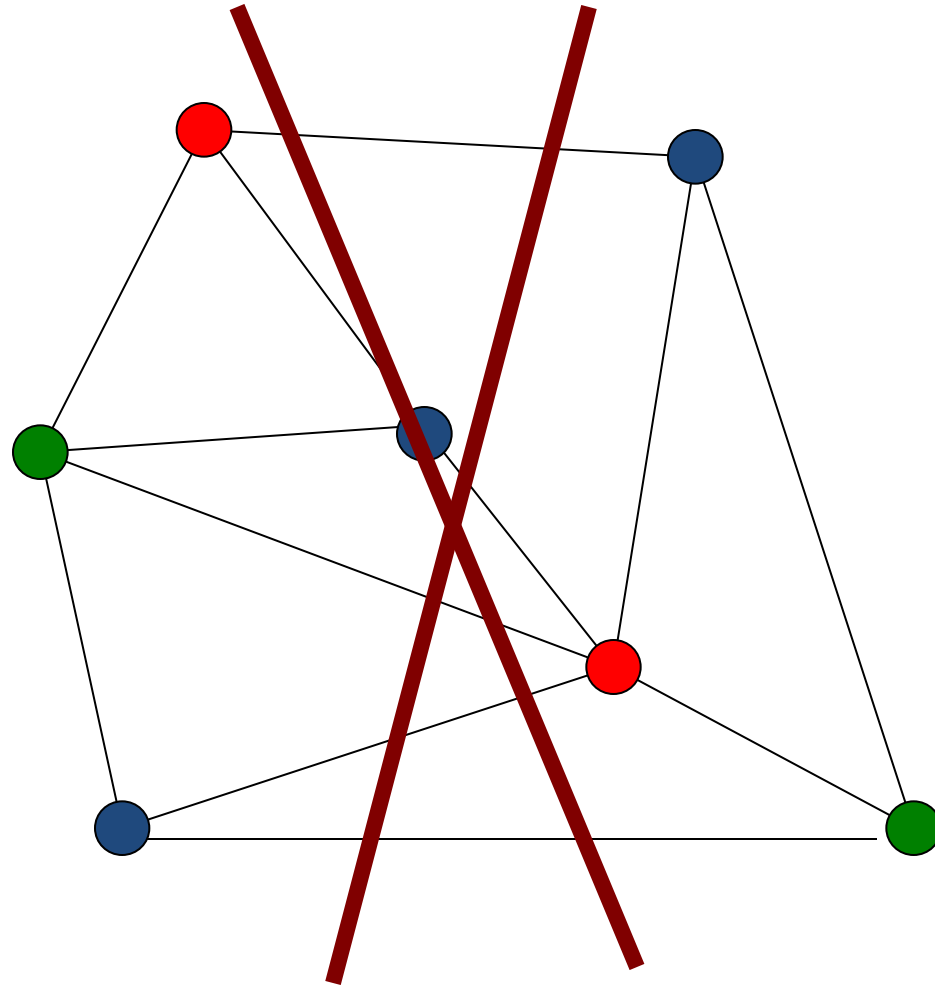


***Prover***

# Zero-knowledge proof of 3-colorability

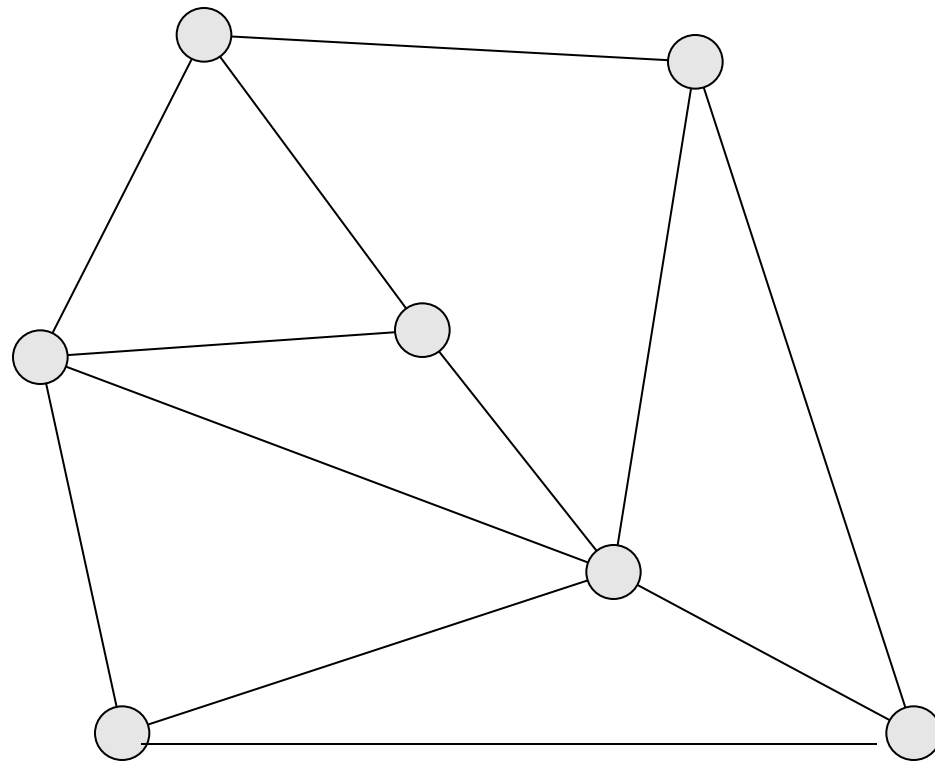


***Prover***





# Zero-knowledge proof of 3-colorability



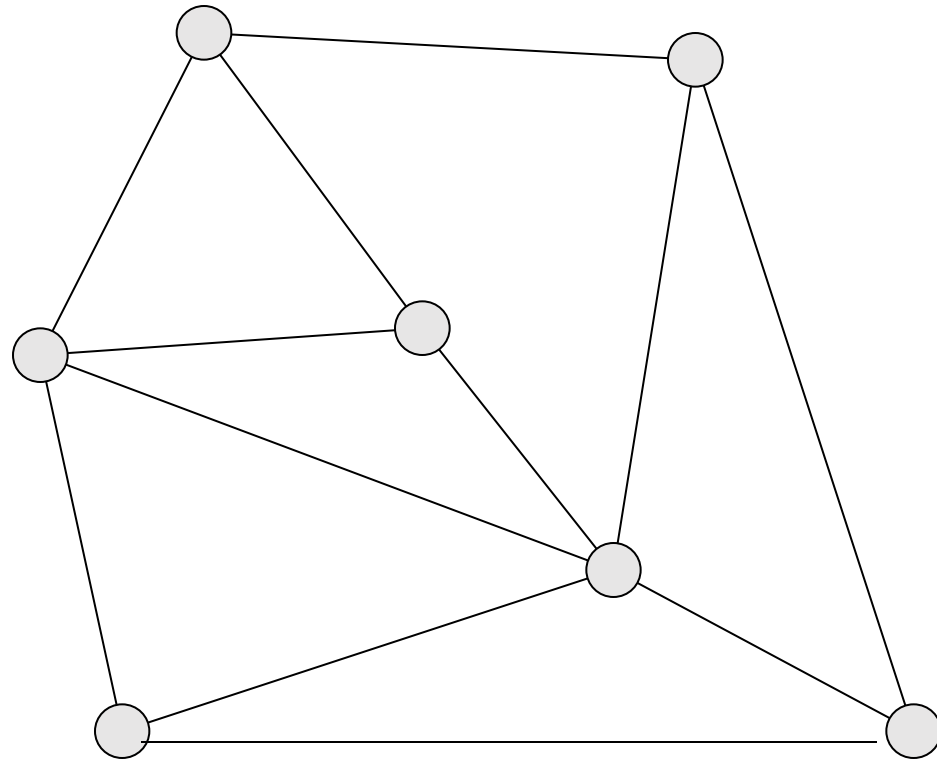
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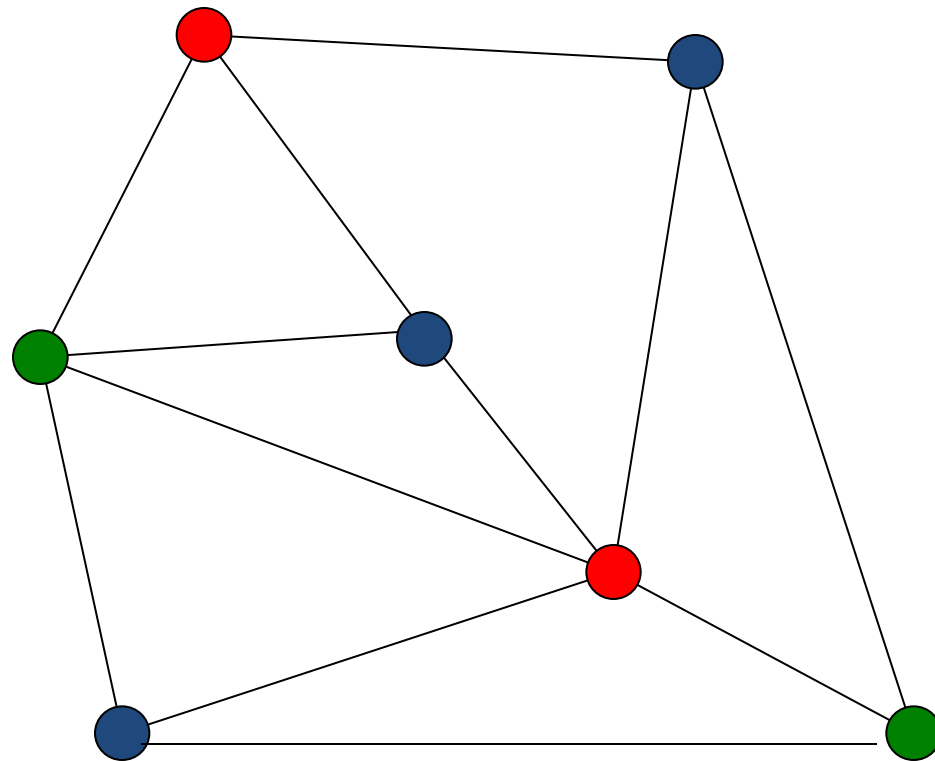


***Prover***

Please step out.



# Zero-knowledge proof of 3-colorability

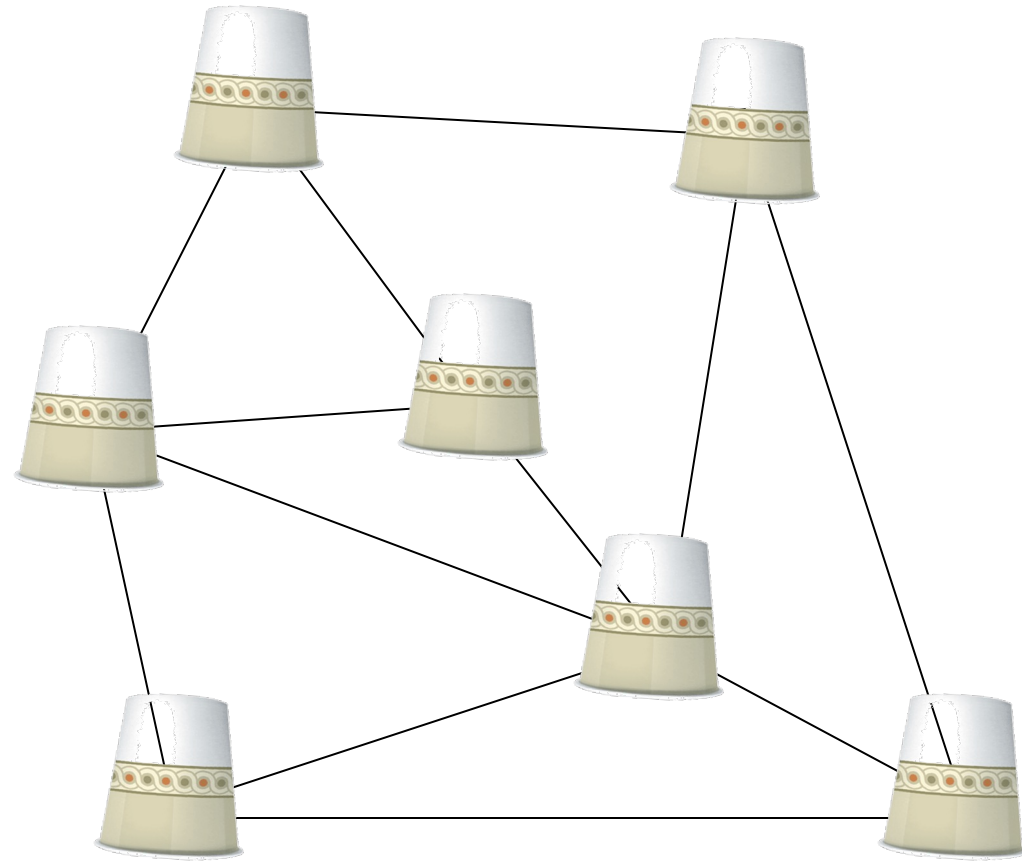


***Prover***

# Zero-knowledge proof of 3-colorability



**Prover**

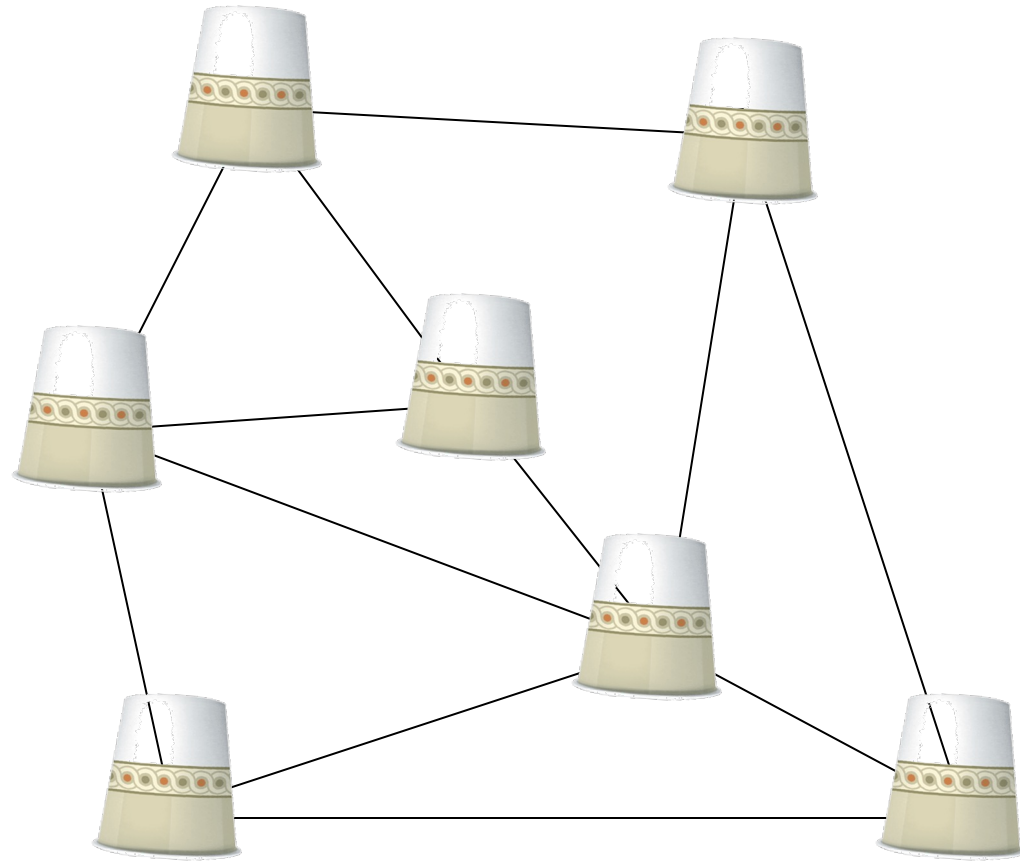


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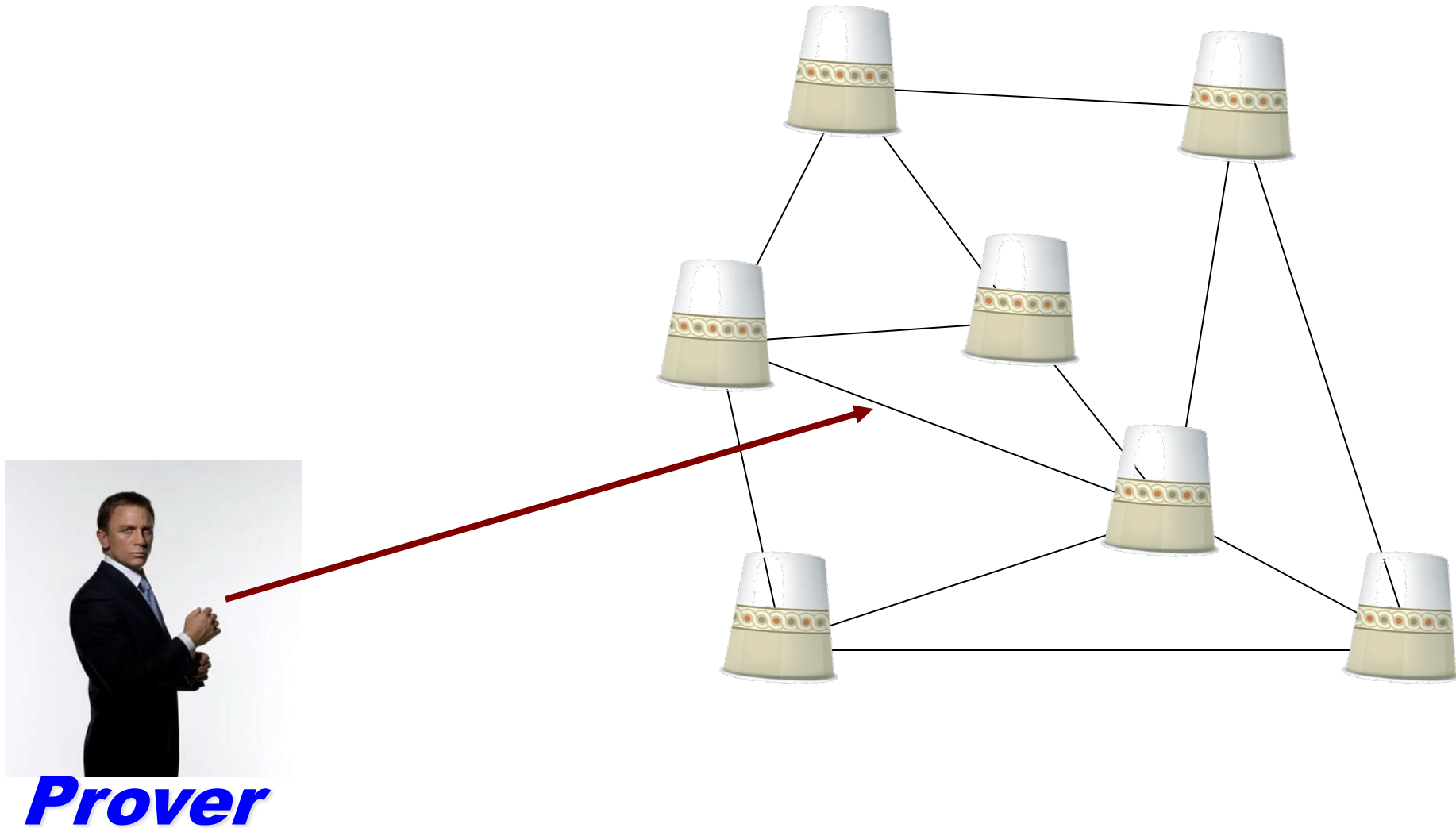
Please come back in, and check one edge.



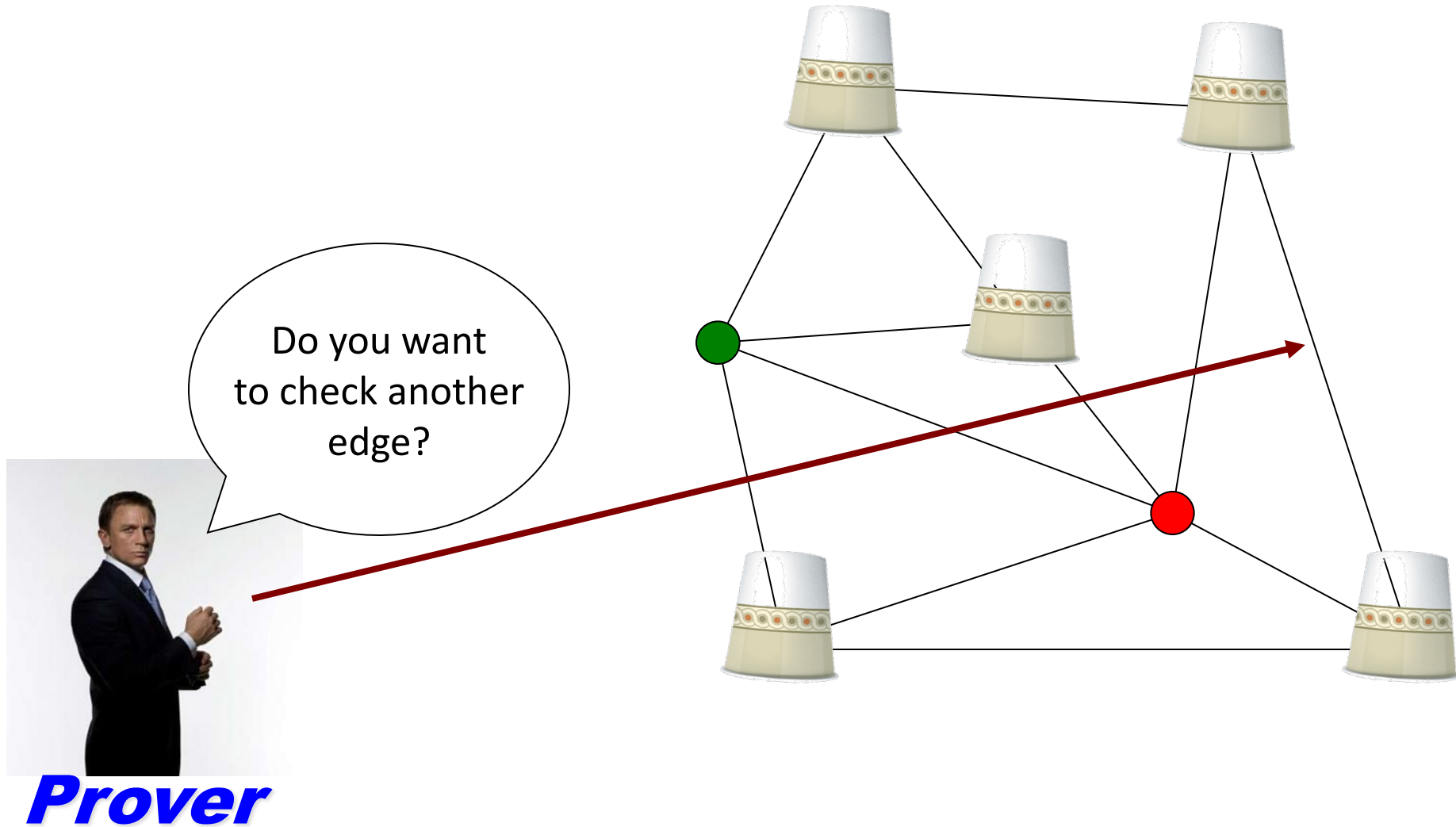
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# Zero-knowledge proof of 3-colorability



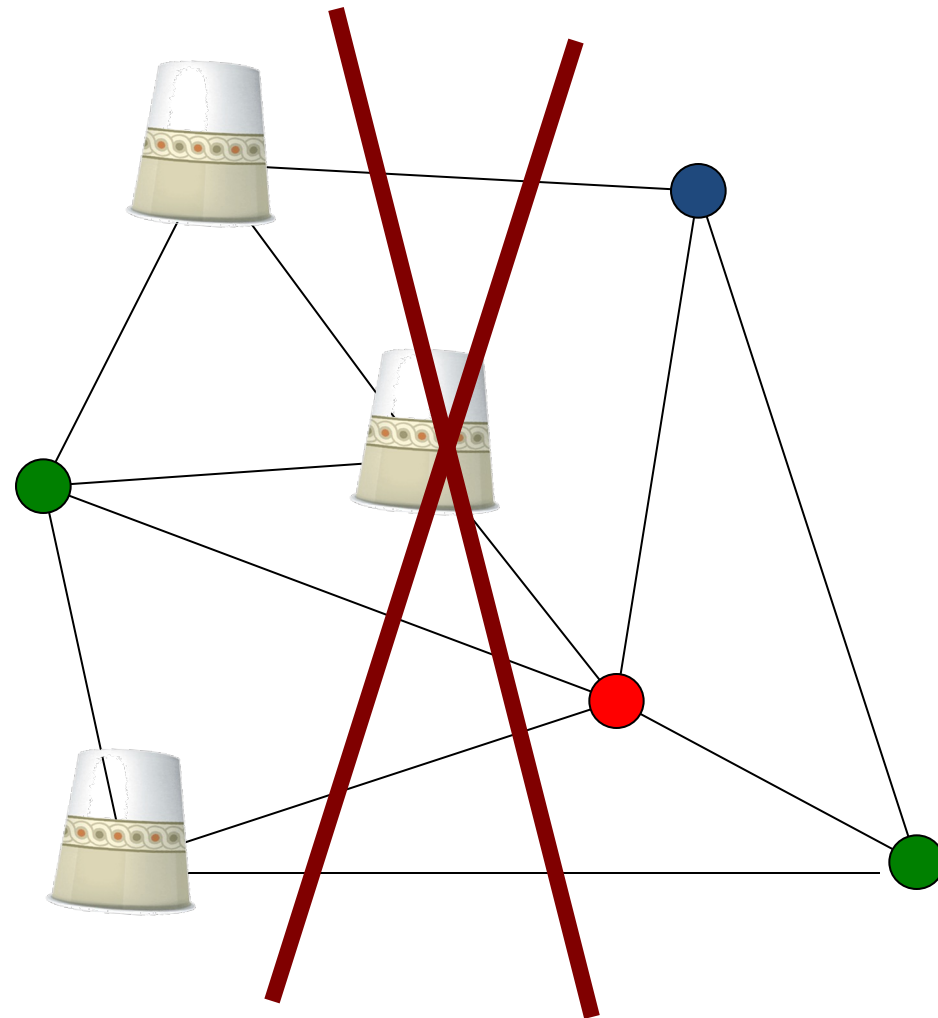
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# Zero-knowledge proof of 3-colorability



***Prover***



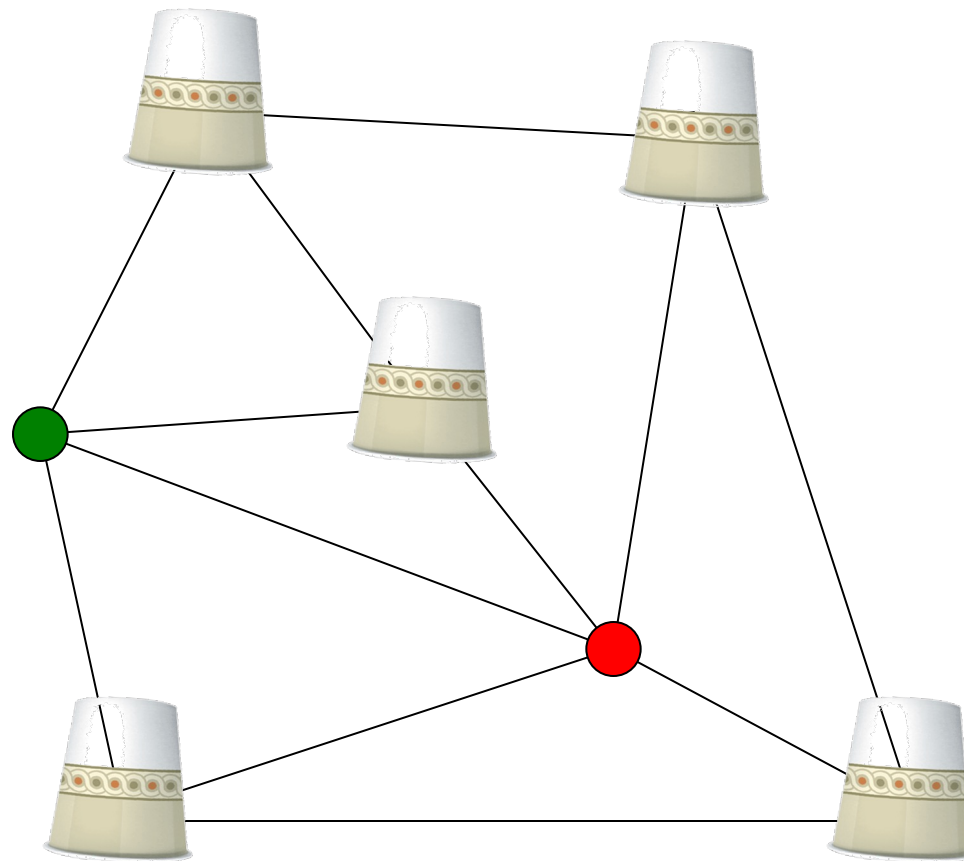


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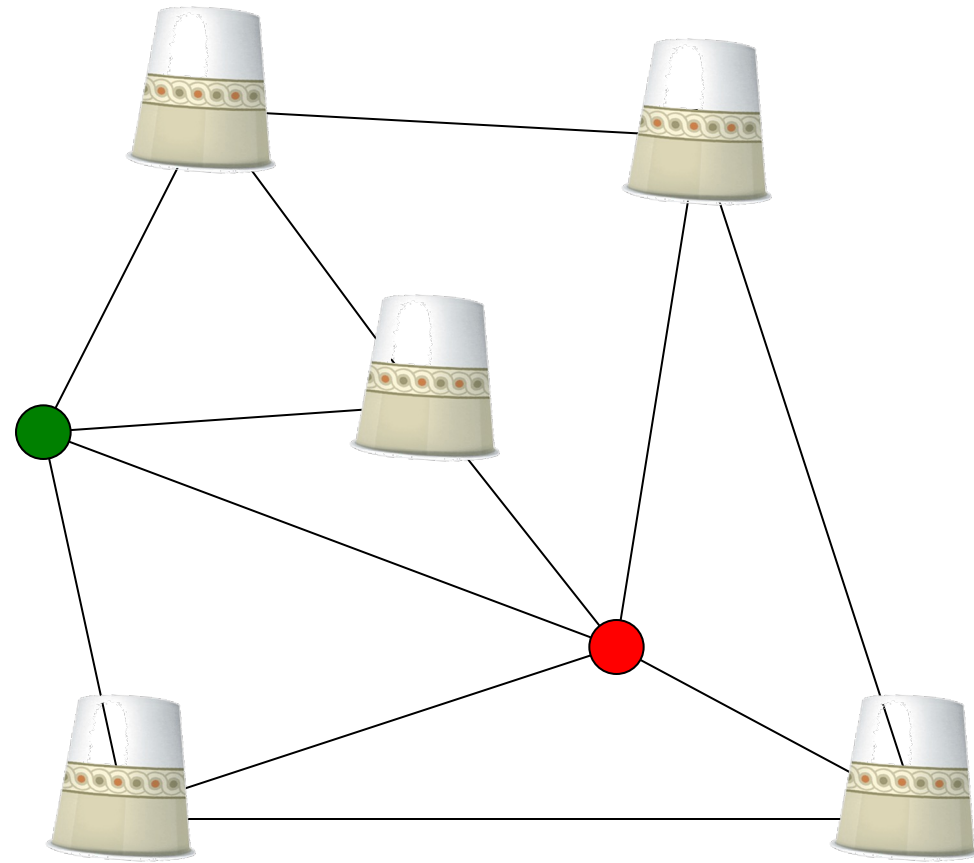


Please step out.

**Prover**

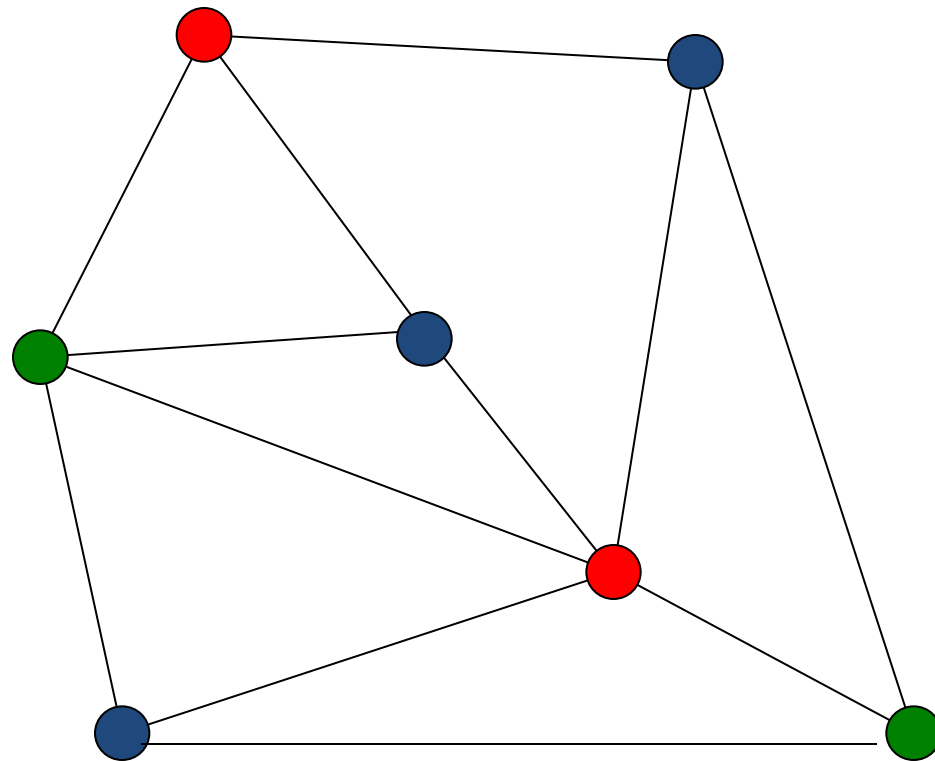


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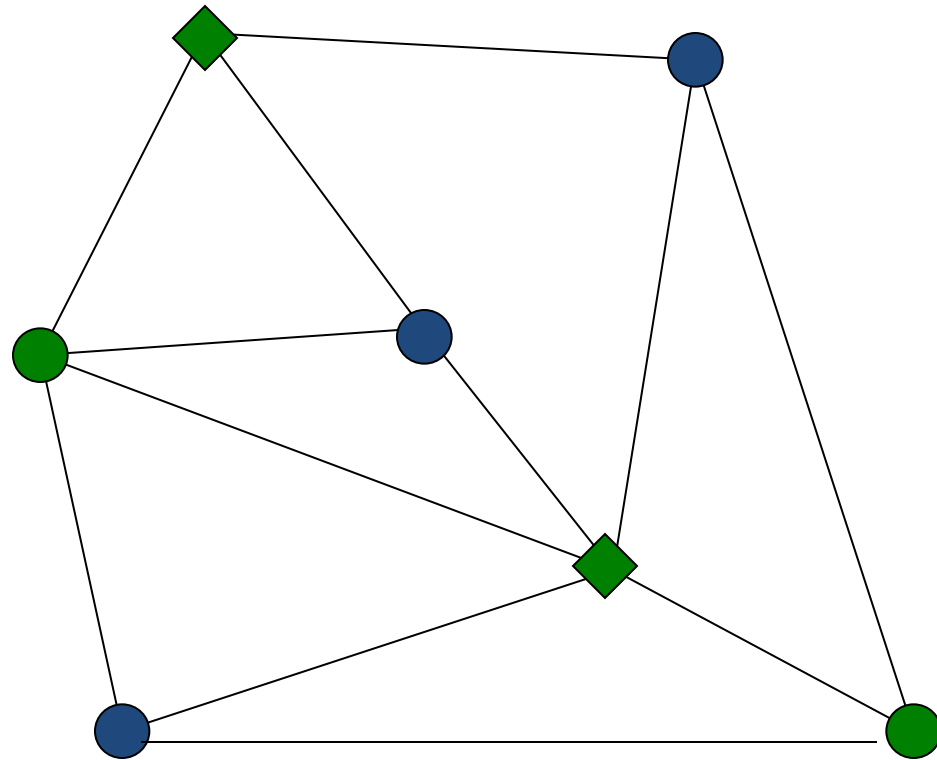
***Prover***

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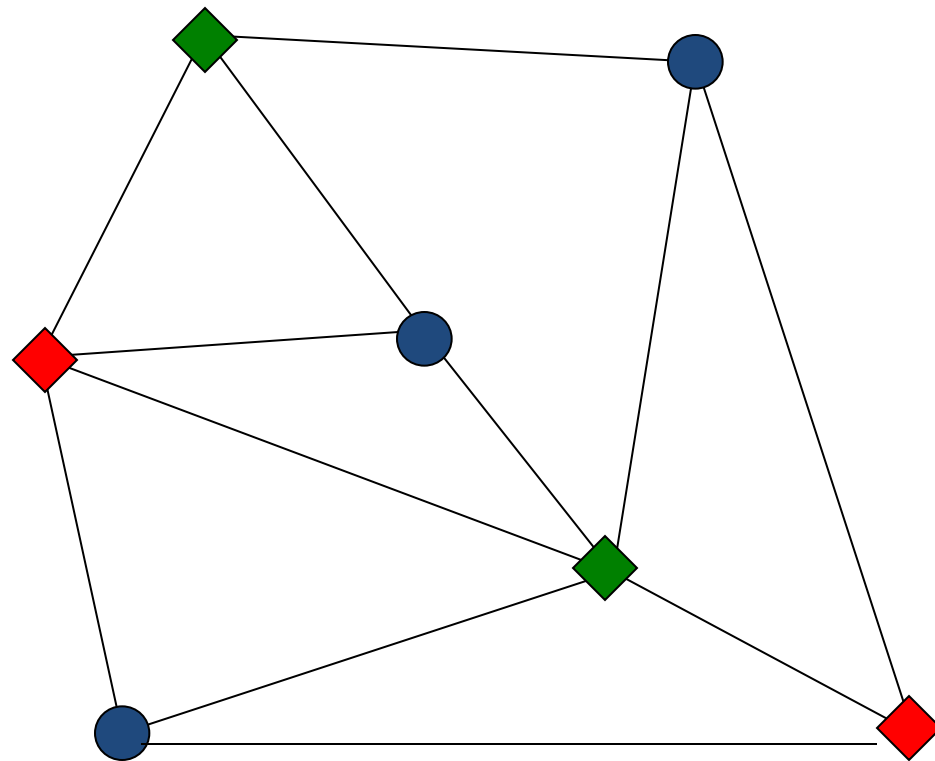
***Prover***

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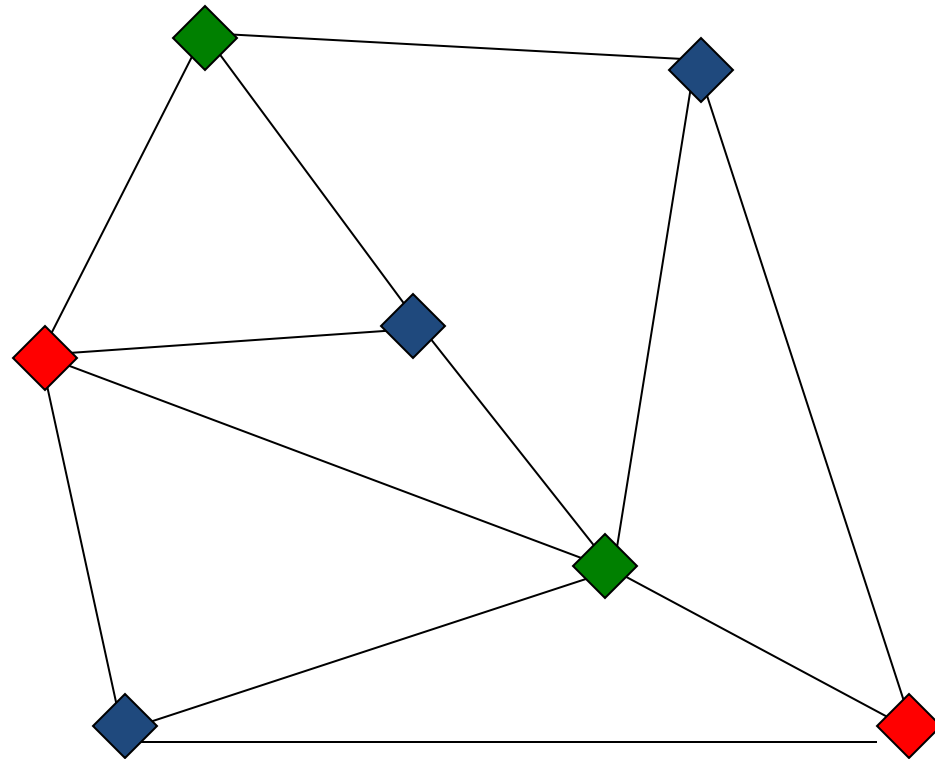
***Prover***

# Zero-knowledge proof of 3-colorability



***Prover***

# Zero-knowledge proof of 3-colorability



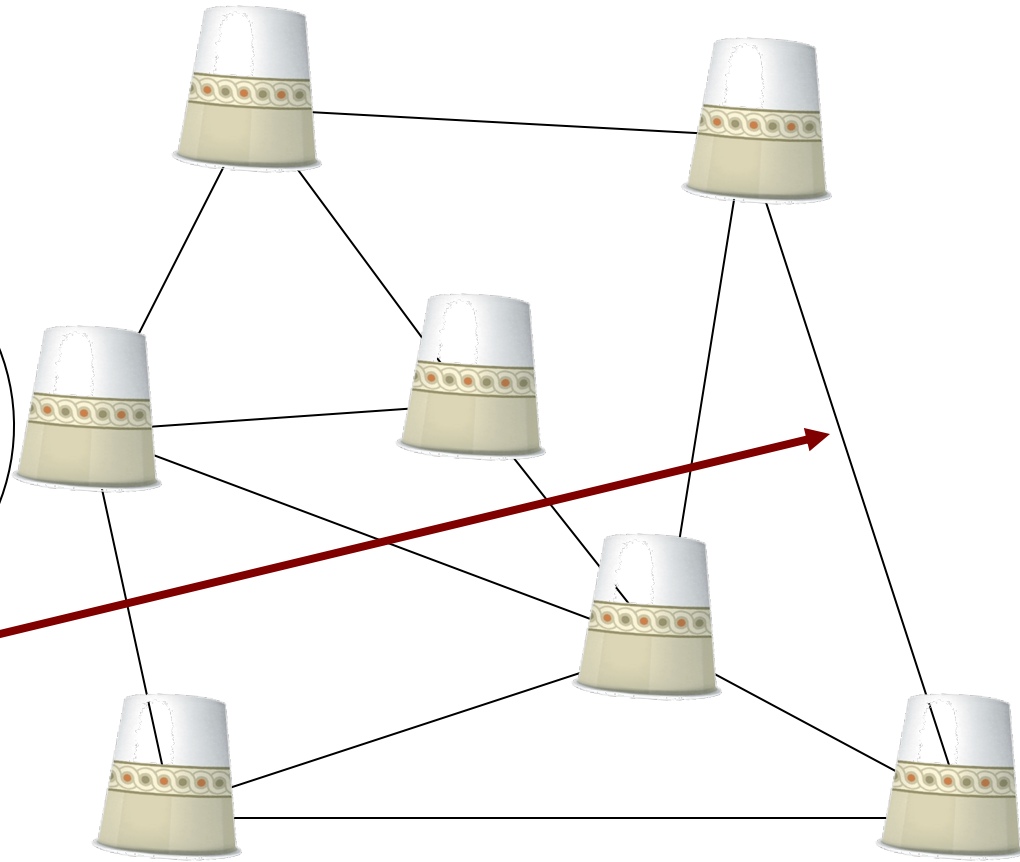
***Prover***

# Zero-knowledge proof of 3-colorability

If we repeat  
100 times and you  
never catch me  
lying, you'll be  
convinced!



**Prover**



[GMW86]

# Do you need paper cups?

NO. In the actual protocol, the prover “commits” to the colors.

For example, let  $f$  be a OWP, and let  $B$  be its hardcore bit.

To color the vertex  $v$  with the color  $(v) \in \{00, 01, 10\}$ , pick random  $x_1$  and  $x_2$  from  $\{0,1\}^\lambda$   
compute  $y_1 = f(x_1)$ ,  $y_2 = f(x_2)$ ,  $\text{mask} = B(x_1)B(x_2)$ ,  $\text{masked\_color} = \text{mask} \oplus \text{color}(v)$

Instead of coloring  $v$  and covering it with a paper cup, announce “my commitment to the color of  $v$  corresponds to the unmasking of  $\text{masked\_color}$  for  $y_1, y_2$ ”

To “open,” reveal  $x_1, x_2$ . The verifier (1) checks that  $y_1 = f(x_1)$ ,  $y_2 = f(x_2)$  and  
(2) sets  $\text{color}(v) = \text{masked\_color} \oplus B(x_1)B(x_2)$

This commitment hides the color (because  $B$  is a hardcore bit), but the prover cannot change his mind about it.



# Zero-Knowledge Proof: More Formally

- First, recall what a “language”  $L$  in NP looks like:

$$L = \{x \mid \exists \text{ witness } w \text{ such that } \text{WitnessVerification}(x,w) = \text{Accept}\}$$

- For example:

$$\text{3-Colorability} = \{\text{graph } G \mid \exists \text{ a way to color vertices of } G \text{ into three colors so that for each } (u,v) \text{ in } E(G), \text{ color}(u) \text{ different from color}(v) \}$$

- Let  $(A,B)$  be a pair of interactive algorithms. Notation: let  $\text{Output}(A(x) \leftrightarrow B(x))$  denote the output of  $A(x)$  after interacting with  $B(x)$ .

- A pair of algorithms (Prover, Verifier) constitute a zero-knowledge proof system for a language  $L$  if:

- Running time: Verifier is a probabilistic polynomial-time algorithm. (Often we also need Prover to be ppt)
- Completeness: if  $x \in L$  and  $w$  is the “witness” to that, then  $\text{Output}(\text{Verifier}(1^\lambda, x) \leftrightarrow \text{Prover}(1^\lambda, x, w)) = \text{Accept}$
- Soundness  $\epsilon$ : if  $x \notin L$ , then for any adversarial Prover\*,  $\Pr[\text{Output}(\text{Verifier}(1^\lambda, x) \leftrightarrow \text{Prover}(1^\lambda, x, w)) = \text{Accept}] \leq \epsilon$
- Zero knowledge:  $\forall$  adversarial verifier  $V^*$ ,  $\exists$  a ppt “simulator” algorithm  $\text{Sim}V^*$  such that  $\forall x \in L$ , the output of  $\text{Sim}V^*(1^\lambda, x)$  is indistinguishable from  $\text{Output}(V^*(1^\lambda, x) \leftrightarrow \text{Prover}(1^\lambda, x, w))$ .

The meaning of this simulator: whatever the verifier  $V^*$  learns from  $\text{Prover}(1^\lambda, x, w)$ , it can learn by just running  $\text{Sim}V^*(1^\lambda, x)$  without any access to the Prover at all.

Why does this even make sense? The simulator can see “inside” the verifier, reset it to a previous state, etc.

# Zero-Knowledge Proof: More Formally

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Why does this even make sense? The simulator can see “inside” the verifier, reset it to a previous state, etc.

- Theorem: the protocol we just saw for 3-colorability is a ZK proof system
  - Running time: yes
  - Completeness: yes
  - Soundness: already argued
  - ZK property: need to come up with a simulator

# Zero-Knowledge Proof: More Formally

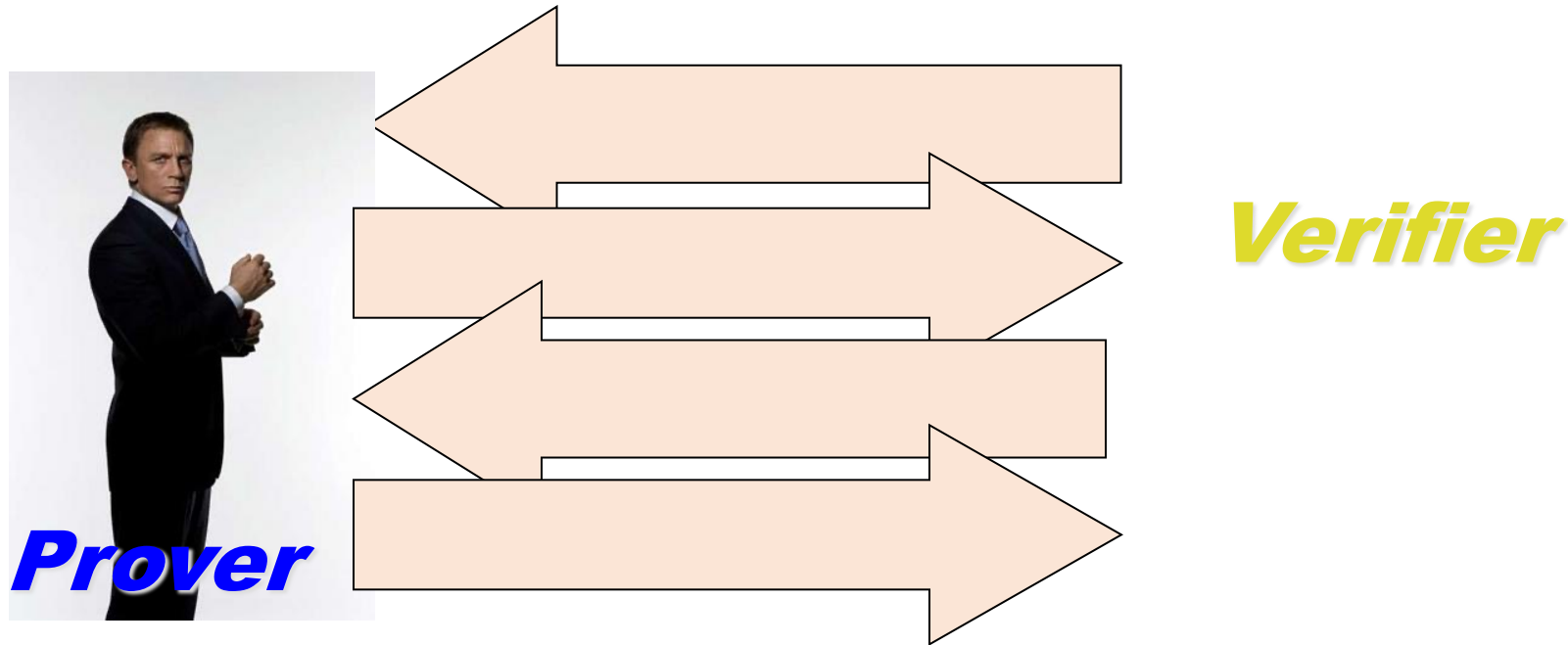
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  - Running time: yes
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  - ZK property: need to come up with a simulator
- Simulator:
  - (1) guess which edge  $e = (u,v)$  the verifier will check
  - (2) pick two random distinct colors (e.g. “red” and “green”) and color  $u$  and  $v$  in those
  - (3) color all the other vertices “red”
  - (4) commit to all this coloring of the graph, send the commitments to  $V^*$
  - (5)  $V^*$  responds with an edge  $e^*$ .
    - If  $e^* \neq e$ :
      - reset  $V^*$  to its state before it received the commitments, and go back to step (1)
    - Else: open the commitments to the colors picked in (2)
  - (6) output whatever  $V^*$  outputs

# ZK Proofs for Other Things

Theorem: Everything provable is provable in zero-knowledge.

[GMR85,GMW86,BGGHKMR88]

(Easy to see that any  $L \in NP$  has a ZK proof system, because 3-colorability is NP-complete.)



- Prover convinces Verifier that the statement is true
- Verifier learned nothing about the solution

# Non-Interactive ZK Proof System (NIZK) [BDMPFLS 1]

Note: this definition of ZK is a simplification. Many additional subtleties that we won't get into.

Important: soundness must still hold even when A has seen a simulated proof

- $\text{Setup}(1^\lambda)$ ,  $\text{Prove}(\text{params}, x, w)$ ,  $\text{Verify}(\text{params}, x, \pi)$  : non-interactive algorithms
- Completeness: if  $x \in L$ ,  $w$  is a witness,  $\text{params} \leftarrow \text{Setup}(1^\lambda)$ ,  $\pi \leftarrow \text{Prove}(\text{params}, x, w)$ ,  $\text{Verify}(\text{params}, x, \pi)$  accepts
- Soundness:  
for all ppt  $A$ ,  $\Pr[\text{params} \leftarrow \text{Setup}(1^\lambda); (x, \pi) \leftarrow A(\text{params}) : x \notin L \text{ and } \text{Verify}(\text{params}, x, \pi) = \text{Accept}] = \text{negligible}(\lambda)$
- Zero knowledge: there exists simulator algorithms  $\text{SimSetup}(1^\lambda)$  and  $\text{SimProve}(\text{simparams}, \text{td}, x)$  such that the following experiments' outputs are indistinguishable for all  $x \in L$ , its witness  $w$ :

Real proof:  $\{ \text{params} \leftarrow \text{Setup}(1^\lambda); \pi \leftarrow \text{Prove}(\text{params}, x, w) : (\text{params}, \pi) \}$

Simulation:  $\{ (\text{simparams}, \text{td}) \leftarrow \text{SimSetup}(1^\lambda); \pi \leftarrow \text{SimProve}(\text{simparams}, \text{td}, x) : (\text{simparams}, \pi) \}$



Steps of the experiment

Output of the experiment

I haven't yet told you what trapdoor permutations are

- Theorem [FLS]: If trapdoor permutations exist, then NIZK proof systems exist.

# More on NIZKs

- I haven't shown you how they work. And I don't have time to do so. 😞
- There is a lot of research, discussion, excitement around NIZK right now.
- There are efficient and provably secure NIZKs for languages that are interesting and important in practice (we will talk about them on Friday).
- And now we will see how they help us achieve public-key encryption.

# Public-Key Encryption: Algorithms and Correctness

- $\text{KeyGen}(1^\lambda)$  outputs two keys: public key PK and secret key SK
- $\text{Encrypt}(\text{PK}, m)$  only needs the public key to output a ciphertext  $c$
- $\text{Decrypt}(\text{SK}, c)$  outputs the message  $m$
  
- Correctness: for all  $m$ , if  $(\text{PK}, \text{SK}) \leftarrow \text{KeyGen}(1^\lambda)$  and  $c \leftarrow \text{Encrypt}(\text{PK}, m)$ , then  $\text{Decrypt}(\text{SK}, c) = m$ .

# Public-Key Encryption: Security



# Recall the symmetric-key case:

- How does the adversary interact with other system participants?

Encrypt( $K, 1^\lambda, \square$ )

Decrypt( $K, 1^\lambda, \square$ )

black boxes/oracles for encryption and decryption

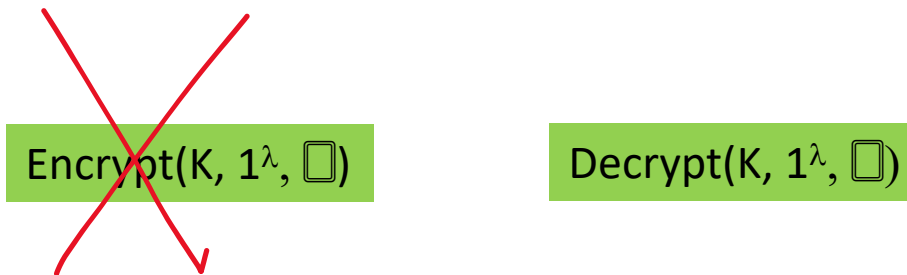
чорні скриньки/оракули

для шифрування та дешифрування



# Recall the symmetric-key case:

- How does the adversary interact with other system participants?

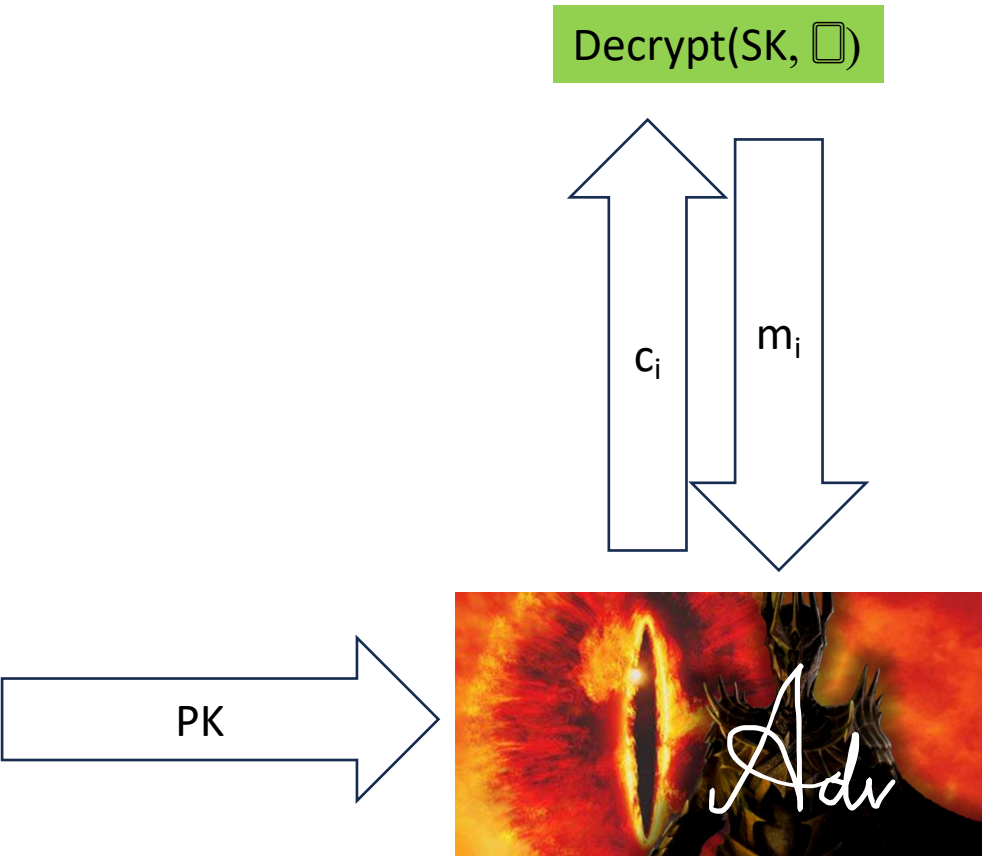


Only need the decryption oracle:  
A can encrypt by itself



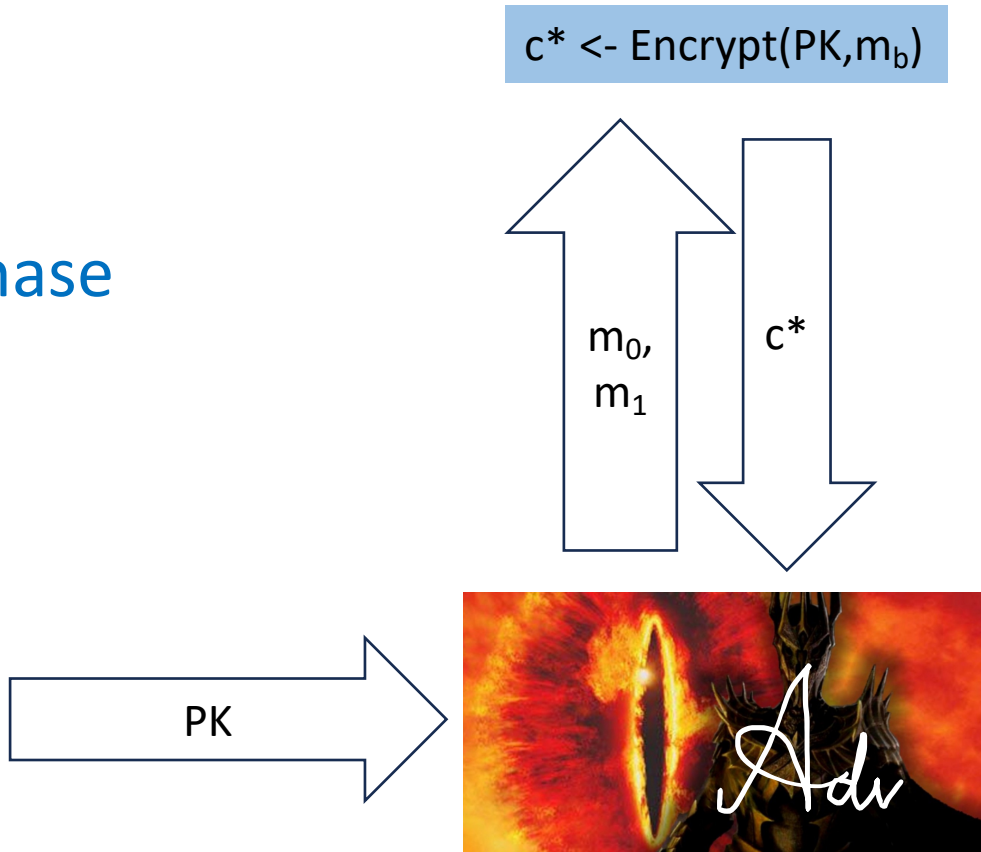
The Adversary receives PK as input and has access the decryption oracle:

Query phase  
Фаза запиту



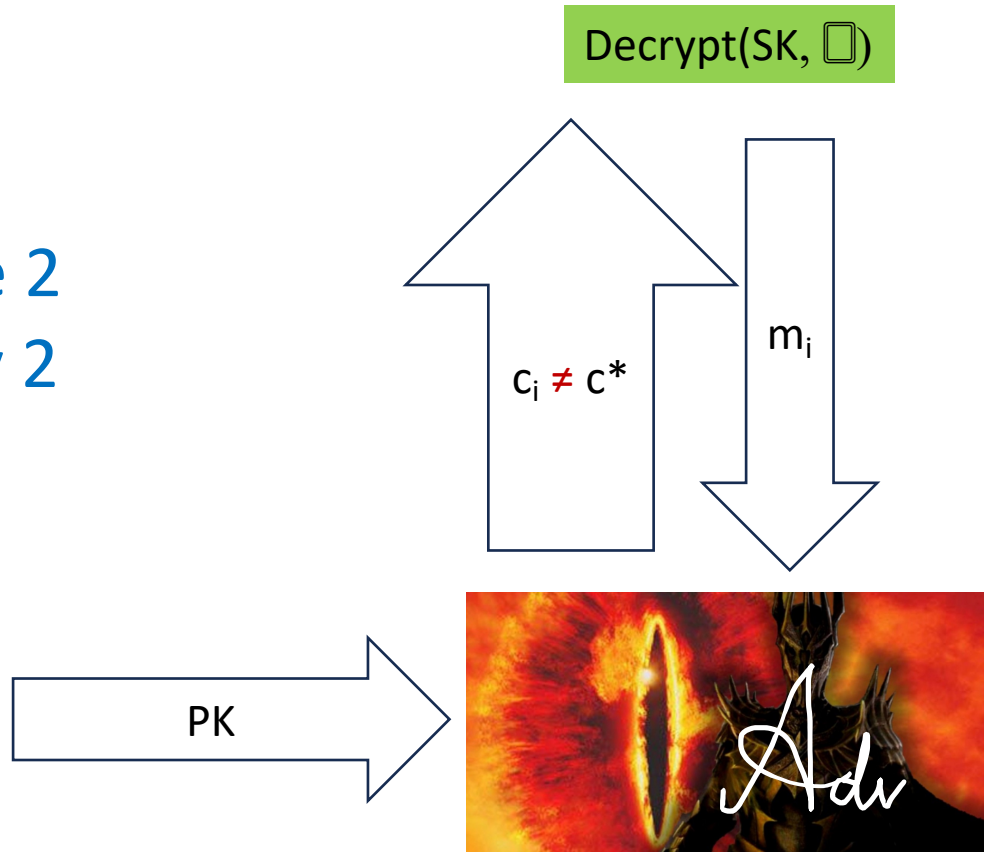
Then the Adversary receives a challenge ciphertext

Challenge phase



The Adversary queries the decryption oracle again:

Query phase 2  
Фаза запиту 2



# The Adversary produces an output:

Decrypt(SK, □)

Output phase



# Just as in the symmetric case:

- Let

$$p_0 = \Pr[A \text{ outputs } 0 \text{ when } b=0]$$

$$p_1 = \Pr[A \text{ outputs } 0 \text{ when } b=1]$$



(KeyGen, Encrypt, Decrypt) constitute a secure public-key encryption scheme if  $|p_0 - p_1| = \text{negligible}(\lambda)$

# Public-Key Encryption: Construction, Try1

That's what a trapdoor permutation is! For example, RSA.

- $\text{KeyGen}(1^\lambda)$  outputs  $\text{PK} =$  one-way permutation  $f$  with hardcore bit  $B$   
 $\text{SK} =$  trapdoor, i.e. an efficient way to compute  $f^{-1}$
- $\text{Encrypt}(\text{PK}, m)$  for the simplified case where  $m$  is just one bit:  
pick a random  $x \leftarrow \text{Domain}(f)$ , let  $c = (f(x), B(x) \oplus m)$
- $\text{Decrypt}(\text{SK}, c)$  : let  $c = (y, \text{masked\_message})$   
recover  $x = f^{-1}(y)$ , recover  $m = \text{masked\_message} \oplus B(x)$
- Correctness: easy to see.

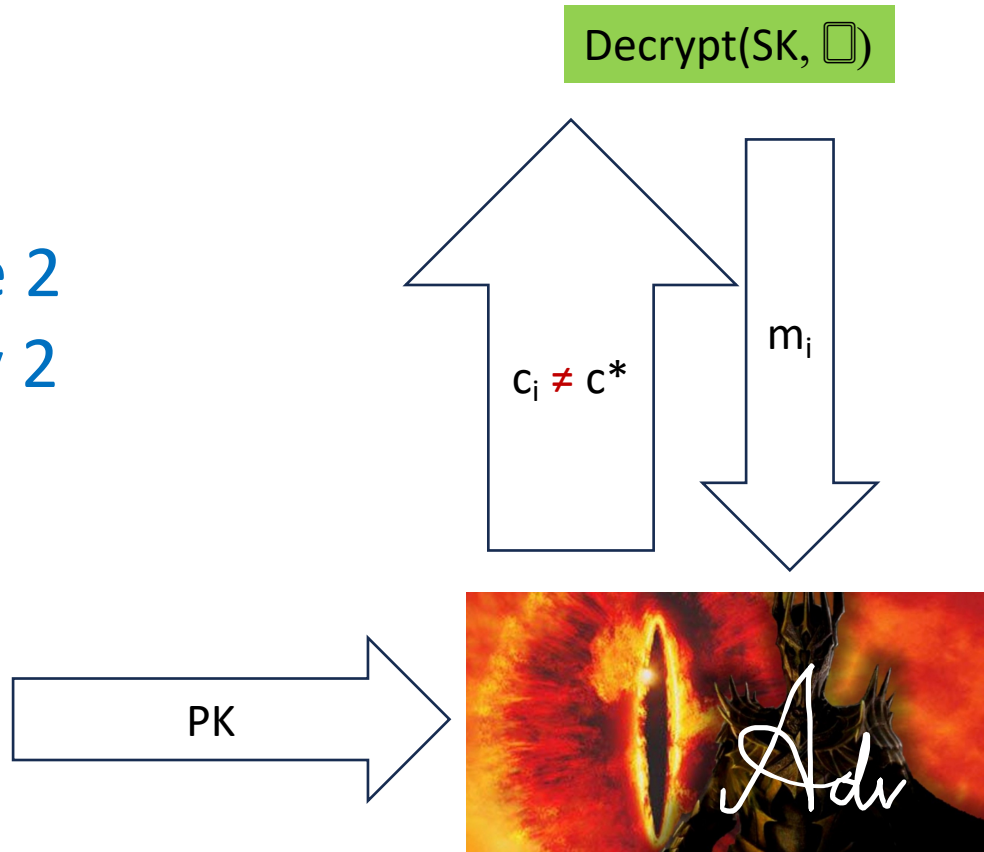


# Public-Key Encryption: Construction, Try1

- Is it secure?
- If  $A$  does not have access to the decryption oracle, then it is secure (follows from the security of the trapdoor permutation)
- What if  $A$  has access to the decryption oracle?

# Public-Key Encryption: Attack on Try1

Query phase 2  
Фаза запиту 2



Let  $c^* = (y^*, u^*)$   
Form query  $c = (y^*, 1 \oplus u^*)$ ,  
receive decryption  $m$ .  
Output  $m^* = m \oplus 1$  .

# Public-Key Encryption: Fix Using NIZK

- $\text{KeyGen}(1^\lambda)$  outputs  $\text{PK} = (\text{params}, f_1, f_2)$ , where  $\text{params}$  are for NIZK, and  $f_1, f_2$  are OWPs with hardcore bit  $B$   
 $\text{SK} = \text{trapdoor for } f_1$
- $\text{Encrypt}(\text{PK}, m)$  for the simplified case where  $m$  is just one bit:  
pick a random  $x_1 \leftarrow \text{Domain}(f_1)$ , let  $c_1 = (f_1(x_1), B(x_1) \oplus m) = (y_1, u_1)$   
pick a random  $x_2 \leftarrow \text{Domain}(f_2)$ , let  $c_2 = (f_2(x_2), B(x_2) \oplus m) = (y_2, u_2)$   
compute NIZK proof  $\pi$  that  $c_1$  and  $c_2$  were computed from same  $m$   
output ciphertext  $c = (c_1, c_2, \pi)$
- $\text{Decrypt}(\text{SK}, c) : \text{let } c = (c_1, c_2, \pi)$ .  
Verify the proof  $\pi$ , reject if it doesn't verify.  
Else let  $c_1 = (y_1, u_1)$ . Recover  $x_1 = f_1^{-1}(y_1)$ , recover  $m = u_1 \oplus B(x_1)$
- Correctness: easy to see.

# Proof of Security

- Roadmap for the proof:
  - Define games that are different from the security experiments
  - Show that all the games are indistinguishable

# Proof of Security

- Game 1: Security experiment when  $m = 0$ .

Challenger uses  $f_1^{-1}$  in decryption queries

Challenge ciphertext is  $c_1 = (f_1(x_1), B(x_1) \oplus m)$ ,  $c_2 = (f_2(x_2), B(x_2) \oplus m)$ ,  
and proof  $\pi$

# Proof of Security

- Game 1: Security experiment when  $m = 0$ .

Challenger uses  $f_1^{-1}$  in decryption queries

Challenge ciphertext is  $c_1 = (f_1(x_1), B(x_1) \oplus 0)$ ,  $c_2 = (f_2(x_2), B(x_2) \oplus 0)$ ,  
and proof  $\pi$

# Proof of Security

- Game 2: Security experiment with params output by SimSetup,  $m=0$

Challenger uses  $f_1^{-1}$  in decryption queries

Challenge ciphertext is  $c_1 = (f_1(x_1), B(x_1) \oplus 0)$ ,  $c_2 = (f_2(x_2), B(x_2) \oplus 0)$ ,  
and SIMULATED proof  $\pi$

Indistinguishable from Game 1 because of the security of NIZK

# Proof of Security

- Game 3: Security experiment with params output by SimSetup and a mismatched challenge ciphertext

Challenger uses  $f_1^{-1}$  in decryption queries

Challenge ciphertext is  $c_1 = (f_1(x_1), B(x_1) \oplus 0)$ ,  $c_2 = (f_2(x_2), B(x_2) \oplus 1)$ ,  
and SIMULATED proof  $\pi$

Indistinguishable from Game 2 because of the security of OWP and its hardcore bit B



# Proof of Security

- Game 4: Security experiment with params output by SimSetup and a mismatched challenge ciphertext

Challenger uses  $f_2^{-1}$  in decryption queries

Challenge ciphertext is  $c_1 = (f_1(x_1), B(x_1) \oplus 0)$ ,  $c_2 = (f_2(x_2), B(x_2) \oplus 1)$ ,  
and SIMULATED proof  $\pi$

Indistinguishable from Game 3 because of the soundness of NIZK even after seeing a simulated proof.

# Proof of Security

- Game 5: Security experiment with params output by SimSetup and  $m=1$

Challenger uses  $f_2^{-1}$  in decryption queries

Challenge ciphertext is  $c_1 = (f_1(x_1), B(x_1) \oplus 1)$ ,  $c_2 = (f_2(x_2), B(x_2) \oplus 1)$ ,  
and SIMULATED proof  $\pi$

Indistinguishable from Game 4 because of the security of OWP and its hardcore bit B

# Proof of Security

- Game 6: Security experiment with params output by Setup and  $m=1$

Challenger uses  $f_2^{-1}$  in decryption queries

Challenge ciphertext is  $c_1 = (f_1(x_1), B(x_1) \oplus 1)$ ,  $c_2 = (f_2(x_2), B(x_2) \oplus 1)$ ,  
and proof  $\pi$

Indistinguishable from Game 5 because of the zero-knowledge property of NIZK

# Proof of Security

- Game 7: Security experiment with params output by Setup and  $m=1$

Challenger uses  $f_1^{-1}$  in decryption queries

Challenge ciphertext is  $c_1 = (f_1(x_1), B(x_1) \oplus 1)$ ,  $c_2 = (f_2(x_2), B(x_2) \oplus 1)$ ,  
and proof  $\pi$

Indistinguishable from Game 6 because of the soundness property of NIZK

# Today: Cryptomania

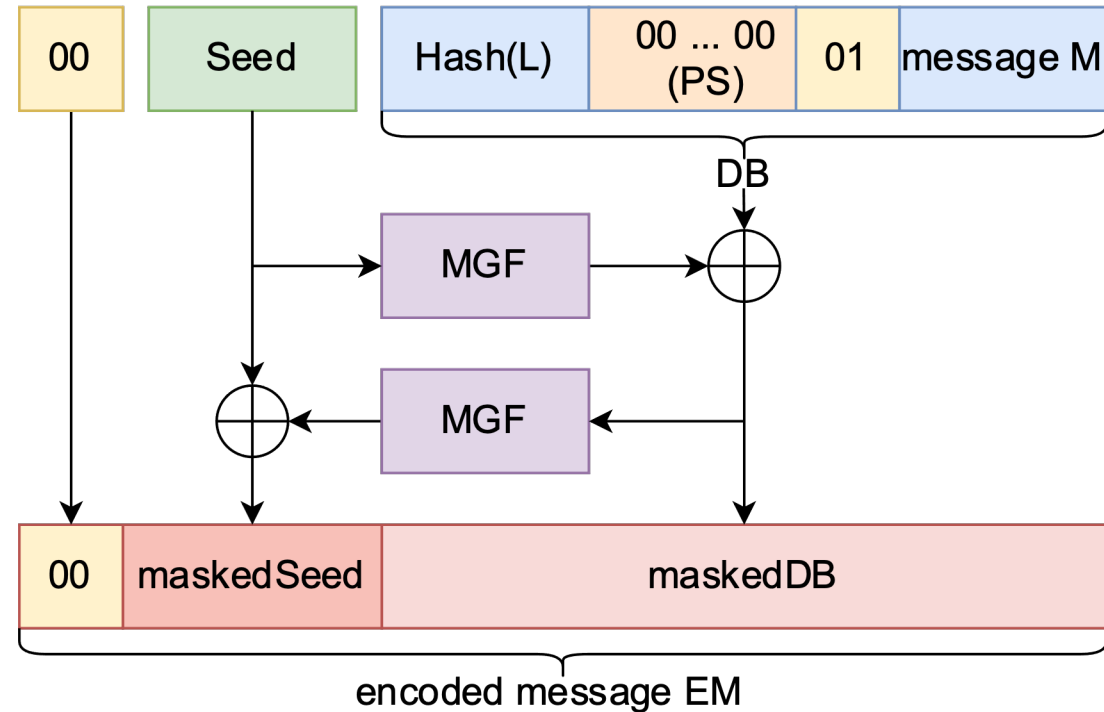
- Zero-knowledge proofs
  - Definition (high level)
  - Construction for an NP-complete language
  - Another flavor: non-interactive zero-knowledge proof (NIZK)
- Public-key encryption: definition
- Trapdoor permutation (aka OWP with a trapdoor)
  - Definition
  - Examples
- Construct public-key encryption from NIZK and TDPs
  - Very theoretical construction, don't use it in practice!
- Look at practical constructions and try to make sense of them using our theoretical tools

# Why did the two TDPs and NIZK help?

- Intuition: that way, in order to form a ciphertext, you “had to know” the message.

# This helps us make sense of public-key encryption that is used in practice, RSA-OAEP

- (picture from Wikipedia)
- In RSA-OAEP:  
the public key is the RSA TDP  $f$   
to encrypt message  $M$ , you encode it  
as shown in the picture, then output  
 $c = f(EM)$



# Today: Cryptomania

- Zero-knowledge proofs
  - Definition (high level)
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- Trapdoor permutation (aka OWP with a trapdoor)
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# Problems for Thursday's problem-solving session with Illia

The definition of security for public-key encryption that we saw in today's lecture is called "semantic security against adaptive chosen-ciphertext attack (CCA)." Sometimes it's called CCA2-security, because there are two decryption query phases. If we change the security game so that the adversary is not able to issue decryption queries, then we get a weaker notion of security, called "semantic security."

- (1) Prove that our  $\text{Try1}$  cryptosystem (slide 57) is secure if  $f$  is a trapdoor permutation with hardcore bit  $B$ .
- (2) Give a semantically secure cryptosystem that allows one to encrypt messages that are longer than one bit. Prove security of your construction.